

Metadata header

This contract is provided by UC Berkeley's Institute of Industrial Relations Library (IIRL). The information provided is for noncommercial educational use only. It may have been reformatted from the original and some appendices or tables may be absent. Note that subsequent changes, revisions, and corrections may apply to this document.

For more information about the IIR Union Contracts Project, contact:
Lincoln Cushing, lcushing@library.berkeley.edu

IDnum 234 **Language** English **Country** United States **State** AK

Union AFT (American Federation of Teachers) AFL-CIO

Local

Occupations Represented
Teachers—postsecondary
Librarians
Counselors

Bargaining Agency University of Alaska

Agency industrial classification (NAICS):

61 (Educational Services)

BeginYear 2001 **EndYear** 2003

Source <http://www.alaska.net/~academe/CBA2001-03.pdf>

Original_format PDF (unitary)

Notes

Contact

Full text contract begins on following page.

COLLECTIVE BARGAINING AGREEMENT

Between the

University of Alaska

And

United Academics – AAUP/AFT

January 01, 2001 – December 31, 2003

TABLE OF CONTENTS

ARTICLE 1	<i>Agreement</i>.....	1
ARTICLE 2	<i>Purpose</i>	2
ARTICLE 3	<i>Recognition</i>.....	3
ARTICLE 4	<i>Membership, Dues Deduction, and Agency Fee</i>	4
ARTICLE 5	<i>United Academics Representatives and Privileges</i>	5-6
ARTICLE 6	<i>Academic Freedom and Responsibility</i>	7
ARTICLE 7	<i>Resolution of Disputes</i>	8-16
7.0	Purpose.....	8
7.1	Definitions.....	8-9
7.2	Grievance Resolution Process.....	9-13
7.3	Complaint Resolution Process	13-16
ARTICLE 8	<i>Construction of the Agreement</i>	17
ARTICLE 9	<i>Faculty Status</i>	18-29
9.1	Faculty Appointment	18-20
9.2	Evaluation	20-25
9.3	Responsibilities, Rights, and Privileges of Tenure	25-28
9.4	Termination of Appointment	28-29
ARTICLE 10	<i>Reduction in Force</i>.....	30-32
10.1	General.....	30
10.2	Discontinuance of Program.....	30
10.3	Reduction in Program	31-32
10.4	Financial Exigency.....	32
10.5	Other Rights of Retrenched Unit Members	32
10.6	Exclusions.....	32
ARTICLE 11	<i>Disciplinary Action</i>	33-35
11.1	Just Cause.....	33
11.2	Disciplinary Investigation.....	33
11.3	Implementation of Disciplinary Action	33-35

ARTICLE 12	<i>Personnel files</i>	36-38
ARTICLE 13	<i>Workload</i>	39-41
13.1	Definitions.....	39-40
13.2	Professional Responsibilities	40
13.3	Workload.....	40-41
ARTICLE 14	<i>Intellectual Property</i>	42-46
14.2	Definitions.....	42-43
14.3	Works	43-44
14.4	Inventions.....	44-46
14.5	Outside Activity	46
ARTICLE 15	<i>Compensation</i>	47-50
15.1	Purpose.....	47
15.2	Salary Ranges.....	47
15.3	Initial Placement	47
15.4	Base Adjustments.....	47-48
15.5	Merit Bonus	48
15.6	Salary Augmentation	48-49
15.7	Geographic Differentials.....	49-50
ARTICLE 16	<i>Personnel Benefits</i>	51-60
16.1	Health Insurance	51
16.2	Reimbursement Accounts, Tax Sheltered Annuities, University Pension	51
16.3	Educational Benefits	51-52
16.4	Holidays	52
16.5	Time Off.....	53
16.6	Sabbatical Leave	53-54
16.7	Sick Leave.....	55-58
16.8	Other Leave.....	58-60

ARTICLE 17	<i>Working Conditions</i>	61-62
17.1	Nondiscrimination.....	61
17.2	Health and Safety	61
17.3	Unit Member Hours	61
17.4	Faculty Offices.....	62
17.5	Assignments Requiring Use of Personal Vehicle or Travel.....	62
17.6	Relocation	62
17.7	Outside Activities.....	62
ARTICLE 18	<i>Management Rights</i>	63
ARTICLE 19	<i>No Strike/No Lockout</i>	64
ARTICLE 20	<i>Meet and Confer</i>	65
ARTICLE 21	<i>Severability</i>	66
ARTICLE 22	<i>Duration and Negotiations Procedure</i>	67
ARTICLE 23	<i>Totality of the Agreement</i>	68
APPENDIX A	<i>Appeals Board Procedures</i>	70
APPENDIX B	<i>Memorandum of Understanding: Distance Delivery</i>	71
APPENDIX C	<i>Memorandum of Understanding: Workload</i>	72
APPENDIX D	<i>Memorandum of Understanding: FY01 Merit</i>	73
APPENDIX E	<i>Memorandum of Understanding: Prior Agreements</i>	74
APPENDIX F	<i>Memorandum of Understanding: Compensation</i>	75
APPENDIX G	<i>Memorandum of Understanding: Bargaining Unit Assignment of Department Heads/Chairs</i>	76
APPENDIX H	<i>Memorandum of Understanding: Discharge Procedures for Failure to Pay Representation Fee</i>	77
APPENDIX I	<i>Memorandum of Understanding: UAA Promotion And Tenure Policies 1998-1999</i>	78
APPENDIX J	<i>Settlement Agreement: Professor Pat Fort</i>	79
INDEX	Index 1-4

This page is intentionally left blank.

ARTICLE 1

Agreement

This agreement is made and entered into this 27th day of January, 2000 by and between the University of Alaska ("University"), and United Academics -AAUP/AFT ("United Academics", "Association" and/or "Union").

ARTICLE 2

Purpose

This agreement establishes the terms and conditions of employment for all members of the bargaining unit.

The parties to this Agreement desire to establish and maintain an atmosphere of mutual understanding and cooperation that will promote the basic purposes of the University of Alaska. The parties share in the commitment of the University community to the achievement of optimal conditions for discovering and disseminating knowledge within an atmosphere of academic freedom, fairness, and individual and institutional accountability. The parties commit themselves to administer this Agreement in such a way as to insure that the above stated principles shall be best served.

It is recognized that unit members are uniquely qualified to participate in the academic governance of the University. It is also recognized that unit members acting in concert with other constituencies can provide valuable contributions at all levels of the University. Accordingly, United Academics and the University agree that the opportunity for unit members to participate in the governance of the University is important to its effective operation. It is further agreed that unit members' voluntary participation in University governance is an integral part of the University community's culture. Such service is to be voluntary and may be recognized as part of the service component of the workload established under this Agreement.

ARTICLE 3

Recognition

- 3.1 The University recognizes United Academics as the sole and exclusive representative for the members of the bargaining unit described below:

All regular non-adjunct faculty in the following ranks: Instructor, Assistant Professor, Associate Professor, Professor; Research Assistant Professor, Research Associate Professor, Research Professor; Visiting Instructor, Visiting Assistant Professor, Visiting Associate Professor, Visiting Professor; Cooperative Extension Faculty and/or Agents, Post Doctoral Fellows; Librarians, counselors, rehabilitation faculty, advisors, cooperative extension agents, and other academically related personnel. Department heads/chairs, and those administrators elected by the faculty.

- 3.2 Excluded from the bargaining unit are visiting faculty and post doctoral fellows in their first year of employment. In addition, excluded are all other employees of the University including, but not limited to, employees in the above ranks who are supervisory or confidential or who are employed (a) by a community college, (b) at an extended site (i.e., site of a present or former community college), (c) as vocational-technical instructors, or (d) to teach exclusively at the lower division level. The university will not make a claim that department heads/chairs are supervisors based solely on their status as department heads/chairs or on their participation in the evaluation process.

ARTICLE 4

Membership, Dues Deduction, and Agency Fee

- 4.1 All bargaining unit members, as a condition of employment and continued employment, shall be members of United Academics, or in lieu of membership, pay to United Academics a service fee which shall not exceed the cost of Union dues, to the extent such dues represent bonafide expenses of representing the bargaining unit in negotiations and contract administration. In determining these bonafide expenses, United Academics shall abide by all applicable federal and state law.
- 4.2 All bargaining unit members shall:
- a. Provide the University with a written authorization to deduct from each paycheck the appropriate pro-rata portion of membership dues or agency fees in accordance with Article 4.4; or
 - b. Make alternate arrangements with United Academics to pay the membership dues or agency fees.
- 4.3 United Academics shall indemnify and hold the University harmless against any and all claims, demands, suits, grievances, or other liability that arise by actions taken by either party pursuant to this Article.
- 4.4 The University agrees to deduct the membership dues or the agency fee of United Academics from the pay only of those bargaining unit members who authorize in writing that such deductions be made. Deduction shall be made in equal installments for the academic year. The aggregate deduction from all unit members for each payroll period shall be remitted to United Academics within fifteen (15) working days following the deduction, with a listing of the unit members' names and the amount deducted. The deduction authorization shall terminate on December 31, 2003, unless revoked before that date by the unit member by giving written notice to the treasurer of United Academics and to the University, or unless extended by express mutual agreement of the parties.
- 4.5 The University shall remit the amount collected to the Union Treasurer at the address provided by the Union, and shall have no liability for the deduction and remittance other than exercising ordinary due care.
- 4.6 Unit members authorizing deductions shall use the form agreed upon by the parties and provided by the University.

ARTICLE 5

United Academics Representatives and Privileges

- 5.1 Representatives of United Academics shall be permitted to conduct Association business in and at University facilities only if such activities do not interfere with the professional responsibilities of unit members or with University operations.
- 5.2 Release Time:
- a. United Academics may purchase the release of up to 48 workload units per semester upon payment to the University for the released portion of the unit member's salary.
 - b. United Academics shall notify the University no later than March 15 as to the unit members who are to receive releases during the subsequent academic year. In exceptional circumstances, changes may be made by United Academics no less than 60 days prior to the beginning of a semester.
 - c. Payment to the University for released time must be made prior to the release of a unit member.
 - d. The University may, at its discretion, substitute other unit members or non-unit members to replace released workload units. Funds remaining after substitute credit hours have been paid, shall be used for faculty development. The parties shall meet and confer regarding the distribution of these funds.
- 5.3 United Academics shall provide the University with a list of names, official addresses, and phone numbers of the duly certified officers and all representatives, and maintain that list's currency.
- 5.4 United Academics may use the University's meeting facilities for United Academics' business with unit members on the same basis as other organizations.
- 5.5 The University shall permit posting of official United Academics notices on a bulletin board specifically designated for such use at each MAU.
- 5.6 The University shall provide two offices for use by United Academics, one at UAA and the other at UAF, upon payment of \$5,000 by United Academics to the University no later than September 1 of each academic year.
- 5.7 The University shall provide (a) notice that the unit member is in the bargaining unit represented by United Academics; (b) a copy of the Authorization of Payroll Deduction of Dues and Agency Fee form to each new unit member within thirty (30) days of their initial date of employment; (c) and one copy of this agreement to each current unit member and to each new unit member within thirty (30) days of initial date of

employment. United Academics shall reimburse the University for the cost of printing copies of the contract provided to unit members.

- 5.8 The University shall provide United Academics, upon reasonable request, and within thirty (30) days of said request, with the information and data necessary to administer this agreement. Said data shall be made available both in printed and machine readable versions. United Academics shall pay for the cost of gathering, producing, copying, assembling, and otherwise providing requested information, except where the information requested is required by federal or state law to be provided to United Academics at no cost.
- 5.9 The University shall provide a list of current unit members to United Academics every biweekly pay period.

ARTICLE 6

Academic Freedom and Responsibility

- 6.1 The University and United Academics agree that academic freedom is essential to the mission of the University and that providing an environment of free and honest inquiry is essential to its functioning. Nothing contained in this Agreement shall be construed to limit or abridge any individual's right to free speech or to infringe upon the academic freedom of any member of the University community.
- 6.2 Academic freedom is accompanied by the corresponding responsibility to provide objective and skillful exposition of one's subject, to at all times be accurate, to exercise appropriate restraint, to show respect for the opinions of others and to indicate when appropriate that one is an institutional representative.

ARTICLE 7

Resolution of Disputes

7.0 Purpose

In the interest of promoting harmonious and cooperative relations between the University of Alaska and United Academics, the parties hereby agree to the following terms for the resolution of disputes.

7.1 Definitions

- a. A "grievance" is:
 - i. an allegation by a unit member or United Academics that there has been a specifically cited allegation of procedural errors or omissions made in reaching decisions involving academic judgment; or
 - ii. an allegation by a unit member, United Academics, or the University that an express term of the Agreement has been violated, misinterpreted or improperly applied; or
 - iii. an allegation by a unit member or United Academics that there has been a violation of Regents' Policy or University Regulation to the extent it concerns a term and condition of employment as defined in the Public Employment Relations Act.
- b. A "complaint" is an allegation by a unit member or United Academics involving substantive academic judgments.
- c. A "grievant" is the party alleging a grievance.
- d. A "complainant" is the party alleging a complaint.
- e. A "day" is a working day, Monday through Friday, at the unit from which the grievance or complaint arose, i.e., a day on which the University of Alaska Anchorage, University of Alaska Fairbanks, or University of Alaska Southeast, respectively, are open for business, even if classes are not scheduled.
- f. A "filing" is the receipt of a written grievance or complaint by personal delivery, certified mail or facsimile. If personal delivery is used, the administrator shall provide a written receipt. If certified mail is used, the return receipt shall establish the date of delivery. If facsimile is used, the facsimile cover sheet shall be signed by the receiving party and returned to the grievant or complainant, thus establishing date of delivery.

- g. A "response" is the receipt of the written response to a grievance or complaint by certified mail.
- h. An "administrator" is the first level University official outside the bargaining unit with administrative responsibility for the academic unit in which the grievant or complainant is employed. This would normally be the dean or the director.
- i. A "meeting" can be conducted with the parties at one physical location or the parties connected by teleconference, videoconference, or other appropriate technology.
- j. A "MAU" is a major administrative unit. These are the University of Alaska Anchorage, University of Alaska Fairbanks, and the University of Alaska Southeast.
- k. A "supervisor" is the first level of administration above the administrator. This would normally be the chief academic officer of a MAU.

7.2 Grievance Resolution Process

The Grievance Resolution Process is the sole and exclusive process for resolving grievances as defined in 7.1(a).

7.21 Step 1: Informal Resolution

The grievant or United Academics must notify the responsible administrator of the grievance and must attempt an informal resolution with the administrator. Notification that there is a grievance must be given in writing to the administrator within 30 days after the event giving rise to the grievance, or within 30 days after the grievant became aware, or reasonably should have been aware of the event giving rise to the grievance, whichever is later.

If within 10 days of notification of the administrator in Step 1 the attempt at an informal resolution of the grievance is not successful and the grievant chooses to pursue the grievance, the grievant must file a formal grievance in writing with the supervisor.

7.22 Step 2: Formal Resolution at First Level

The formal grievance shall be signed by the grievant and shall include the following:

- a. the specific term(s) of this Agreement, Board of Regents' Policy or University Regulation alleged to have been violated, misinterpreted, or misapplied;
- b. a description of the grounds of the grievance including names, dates, places, and times necessary for a complete understanding of the grievance;
- c. the remedy sought;

- d. the name(s), academic unit(s), MAU, telephone number, and address at which the grievant shall receive all correspondence related to the grievance; and
- e. the name, telephone number, and address of the grievant's representative.

The supervisor receiving the grievance shall, within 10 days, schedule a meeting with the grievant to occur as soon as it can be mutually arranged. Within 10 days following that meeting, the supervisor shall issue a written finding to the grievant and to United Academics.

If the grievant or United Academics is not satisfied with the finding of the supervisor at Step 2, the grievance may be advanced in writing to the chancellor within 10 days of the grievant's receipt of the Step 2 finding, or the date the finding was due, whichever occurs first.

If the supervisor in Step 2 is the chancellor, the grievance shall move immediately to Step 4. If the supervisor in Step 2 is the president, the grievance shall move immediately to Step 5.

7.23 Step 3: Appeal to Chancellor

The chancellor, or designee, receiving the Step 3 grievance shall, within 10 days, schedule a meeting with the grievant to occur as soon as it can be mutually arranged. Within 10 days following the meeting, the chancellor, or designee, shall issue a written finding to the grievant and to United Academics.

If the grievant or United Academics is not satisfied with the finding of the supervisor in Step 3, the grievance may be advanced in writing to the president of the University within 10 days of the grievant's receipt of the Step 3 finding or the date the finding was due, whichever occurs first.

7.24 Step 4: Appeal to President

The president, or designee, shall, within 10 days of receipt of the grievance, schedule a meeting with the grievant to occur as soon as it can be mutually arranged. The president, or designee, shall issue a written finding to the grievant and United Academics, within 10 days following the meeting.

If United Academics is not satisfied with the finding of the president of the University, or the designee, it may advance the grievance to binding arbitration within ten (10) days of the grievant's receipt of the finding or the date the finding was due, whichever occurs first, according to the process set forth below.

7.25 Step 5: Arbitration

a. Arbitrator Selection

If United Academics is not satisfied with the finding of the president of the University, or his or her designee, and intends to advance the grievance to binding arbitration, it may do so by so notifying the American Arbitration Association (at its regional office). This notification must be in writing according to a form letter mutually agreed by the parties and appended to this agreement, with a copy to the director of labor relations, within 10 days of the grievant's receipt of the finding or the date the finding was due, whichever occurs first. The arbitrator shall be selected in accordance with the Voluntary Rules of the American Arbitration Association.

b. Arbitration Issues

Issues not specifically identified in writing in the Step 4 grievance filing or response shall not be submitted to the arbitrator for decision. The parties may mutually agree to waive this provision, but neither party shall be obligated to do so.

c. Pre-Arbitration Communication

At least 10 days prior to an arbitration hearing, the parties shall provide each other tentative witness lists, statements of the issues, and copies of documentary evidence expected to be introduced in the hearing. In the interest of expediting the arbitration process, the parties shall attempt to stipulate to issues, facts, and evidence to be presented in arbitration.

d. Arbitration Hearing and Costs

The arbitrator shall schedule and conduct the hearing in accordance with the Voluntary Rules of the American Arbitration Association. The parties in the grievance, who are United Academics and the University, shall share equally the costs and fees of the arbitrator, with the exception of fees charged for postponement, unilateral withdrawal, or cancellation, which shall be borne by the party initiating such action. Each party shall be responsible for the costs of presenting its case.

e. Authority of the Arbitrator

The arbitrator shall have no authority to add to, subtract from, modify, or amend the terms of the Agreement. The arbitrator shall confine the decision solely to the application or interpretation of the express terms of the Agreement. Where provisions of the Agreement call for the exercise of academic judgment, the arbitrator shall not have the authority to substitute her/his judgment for that of the official making such judgment, but shall be confined to whether the procedural

steps have been followed. If the arbitrator finds that the procedural steps have not been followed, and that the procedural error was substantially prejudicial to the substantive decision with respect to the grievant, the arbitrator shall remand the case to the decision level where the error occurred for reevaluation and may extend an appointment not to exceed one year. In no case, shall the arbitrator have the authority to grant a remedy which includes an appointment of greater than one year or has the effect of granting retention, promotion, or tenure. The decision of the arbitrator shall be final and binding on both parties to the extent permitted by provisions of this Agreement and applicable law.

f. Retroactivity

An arbitrator's award may be retroactive as the equities of each case may demand, but in no case shall an award be retroactive to a date earlier than 30 days prior to the date the grievance was filed.

7.26 General Provisions

a. Failure to Respond

If, at any step in Grievance Resolution Process, the University fails to respond within the time period prescribed, the grievant and/or United Academics may advance the grievance to the next step. If, at any step in the Grievance Resolution Process, the grievant or United Academics fails to respond within the time period prescribed, the grievance shall be considered permanently resolved on the basis of the University's latest response.

b. Rights of the Bargaining Unit

A United Academics representative shall have the right to be present, either as an advocate for the grievant or as an observer, in all meetings that occur as part of the grievance procedure. The representative's role shall be decided by the grievant.

c. Extension of Timelines

By mutual agreement, the parties may extend the grievance filing and response timelines set forth in 7.2. Such agreements shall be confirmed in writing by the party requesting the extension.

d. Representation and Advice of Counsel

United Academics and the University may be advised or represented by counsel of their choice during any stage of grievance proceedings. Advice or representation by an attorney at any level shall not be the basis for disqualifying such attorney at any other stage. Any conflict of interest which might be raised upon the basis of such advice or representation is expressly waived with respect to all other stages of the process.

- e. **Non-Retribution**
The parties agree that there shall be no retribution or reprisal against a unit member for exercising his or her rights under this procedure.

7.27 Grievances Filed by the University

The University may file a grievance against United Academics within 30 days after the event giving rise to the grievance occurred or within 30 days after the University became aware or reasonably should have been aware of the event giving rise to the grievance, whichever is later. The grievance shall be filed by the University's director of labor relations with the president of United Academics. If the grievance is not resolved within 30 days from the date of filing, the University may advance the grievance to arbitration pursuant to 7.25. The cost and fees of the arbitrator will be apportioned between the parties as determined by the arbitrator.

7.3 Complaint Resolution Process

The Complaint Resolution Process is the sole and exclusive process for resolving complaints as defined in 7.1(b).

7.31 Initiation of the Complaint

Upon formal notification of a decision involving substantive academic judgments, the complainant or United Academics may, within 10 days after receipt of such official notification, file an appeal with the chancellor. If the appeal is of a decision involving promotion, tenure, or non-retention, the appeal shall be referred by the chancellor to the Appeals Board Chair as provided in 7.33. Appeals of all other decisions involving academic judgments shall be referred by the chancellor to the chief academic officer as provided in 7.32. All appeals must include the following:

- a. a statement of the decision being appealed;
- b. the reasons why the complainant disagrees with the decision;
- c. the remedy sought;
- d. the name(s), academic unit(s), MAU, telephone number, and address at which the complainant(s) shall receive all correspondence related to the complaint; and
- e. the name, telephone number, and address of the complainant's representative.

7.32 Reconsideration of the Appealed Decision

- a. Chief Academic Officer's Option to Remand

Upon transmittal of an appeal from the chancellor, the chief academic officer may, within 10 days and at their sole discretion, resubmit the appealed decision to the administrative level within the appropriate deliberative procedure wherein the judgmental decision being appealed occurred. The intent and purpose of resubmission is to permit, if possible, the reconsideration of the decision within the appropriate deliberative procedure.

If the chief academic officer elects to resubmit an appealed decision, the results of the review shall be returned to the chief academic officer within 10 days and the complainant and United Academics shall be kept informed of the reconsideration process. In the event there is a question concerning the proper level or process to be followed upon resubmitting an appealed decision, United Academics shall be consulted.

The chief academic officer shall decide the appeal considering the results of the resubmission within 10 days of the receipt of the results of the review. The decision shall be provided to the complainant and to United Academics.

b. Chief Academic Officer's Option to Decide

Upon receipt of an appeal, the chief academic officer may, within 20 days at her/his sole discretion, issue a final and binding written decision to the complainant and to United Academics.

7.33 Appeal to the Appeals Board

If, pursuant to 7.31, the complainant or United Academics files an appeal of a decision involving promotion, tenure, or non-retention, with the chancellor, the chancellor shall refer the appeal to the appropriate Appeals Board as composed in 7.34(a) within 10 days of receipt of the appeal. The chancellor shall transmit the appeal to the chair of the Appeals Board for consideration by that body and a copy to United Academics.

7.34 The Appeals Board

a. Composition of the Appeals Board

An Appeals Board shall be formed at each MAU. The Appeals Board shall be composed of 3 unit members to be selected through a process defined by United Academics and 3 University representatives to be determined by the chancellor. United Academics will select the seventh member of the Board who will serve as chair. Members shall be excused from considering any appeal if they have a professional or personal conflict such that they cannot render an impartial judgment. In the event a member of the Board is excused, the original appointing party shall appoint a replacement.

b. Scope of the Appeals Board

The Appeals Board shall be empowered to consider appeals involving substantive academic judgments in matters of promotion, tenure, and non-retention. The function of the Board is to hear the evidence relating to an appeal and to render a majority recommendation. The evidence subject to review by the Board is limited to the documentary evidence considered in the original academic decision being appealed. The Board may seek testimony from witnesses for clarification of the documentary evidence.

The Board shall not substitute its judgment for that of the person or persons charged with the responsibility for making the appealed decision except that it may disagree with an appealed judgmental decision when, upon review of the entire record, the appealed decision is not reasonably supported by the evidence contained in the record.

c. Procedures of the Appeals Board

The Board shall conduct its deliberations according to the informal and non-adversarial procedures provided in Appendix A of this Agreement.

d. Recommendation of the Appeals Board

The Board shall, within 30 days of the receipt of the appeal from the chancellor, prepare a written recommendation addressing each issue included in the appeal presented to the Board. The Board's recommendation shall be forwarded to the chancellor as the final recommendation on the appealed decision. Members of the Board not concurring with the majority opinion may submit a minority recommendation, which shall be presented in a meeting with the chancellor along with the majority recommendation.

e. Decision by the Chancellor

Upon advance written notice to the chair of the Board, the chancellor may meet with the Board at any time after receiving its recommendation for the sole purpose of seeking clarification concerning the bases and implications of its recommendation.

The chancellor may accept or reject the recommendation of the Board. If the chancellor does not accept the Board's recommendation, the chancellor shall set forth in writing the substantive reasons for the rejection. The decision of the chancellor shall be rendered in writing within 20 days of the receipt of the Board's recommendations. The chancellor's decision is final and binding and not subject to further review. Copies of the Board's recommendations and the chancellor's decision shall be transmitted by the chancellor to the complainant and to United Academics within 10 days of their receipt.

7.35 General Provisions

a. Rights of the Bargaining Unit

A United Academics representative shall have the right to be present, either as an advocate for the complainant or as an observer, in all meetings that occur as part of the complaint procedure. The role of the representative shall be decided by the complainant.

b. Extension of Timelines

By mutual agreement, the parties may extend the complaint filing and response timelines set forth above. Such agreements shall be confirmed in writing by the party requesting the extension.

ARTICLE 8

Construction of the Agreement

- 8.1 This Agreement shall supersede any other policy, rule, regulation, or practice of the University which are contrary to, duplicative of, or inconsistent with its terms, and supersedes and cancels all previous agreements, verbal or written or based on alleged past practices, between the University and United Academics, and shall constitute the entire Agreement between the parties. Any amendment or agreement supplemental hereto shall not be binding upon either party until such amendment or agreement has been reduced to writing and duly ratified by both parties. This Agreement shall likewise supersede any contrary, duplicative, or inconsistent terms contained in any individual contracts of unit members.
- 8.2 No change in policy, regulation, or rule made after the date of this Agreement shall extend or abridge any right established by this Agreement during the period that this Agreement is in force, except through agreement with United Academics.
- 8.3 No change made after the date of this Agreement in policy, regulation, or rule on a subject which affects the terms and conditions of employment of a unit member but is not specifically addressed in this Agreement shall be made by the University without providing advance notice to United Academics and an opportunity to meet and negotiate over the change.

ARTICLE 9

Faculty Status: Appointment, Evaluation, Promotion, Tenure, and Termination

9.1 Faculty Appointment

There shall be three (3) categories of appointment applicable to unit members: appointment with tenure, tenure track appointment, and non-tenure track term appointment. The appointment of unit members to these categories shall be at the sole discretion of the University. The initial appointment of unit members to one of these categories shall not be subject to the dispute resolution processes provided in this Agreement.

9.11 Appointment with Tenure

Tenure denotes the status of holding a nine (9) month appointment on a continuing basis. Such appointments shall be renewed annually unless terminated as provided by the terms of this Agreement.

A tenured appointment may be made at less than 90 per cent, but no less than 50 per cent, of full-time status. Any change from the level of tenure status made upon the initial appointment to tenure at a higher per cent of tenure status shall be made only with recommendation through the tenure evaluation process and approval of the Chancellor. Any change from the level of tenure status made upon the initial appointment to tenure at a lower per cent of tenure status shall be made with the approval of the Chancellor.

The titles of assistant professor, associate professor, and professor shall be used to denote the rank held by tenured unit members.

9.12 Tenure Track Appointment

A tenure track appointment is one that leads to eligibility for consideration for appointment with tenure. Time spent in a tenure track appointment in the academic unit within which tenure is sought shall count toward the time for mandatory review for tenure. Notification of the year of mandatory review shall be made in the initial appointment letter. Non-renewal of a tenure track appointment shall be made in accordance with the notification time periods required by this Agreement.

A tenure track appointment may be made at less than 90 percent, but no less than 50 percent, of full-time status.

The titles of assistant professor, associate professor, and professor shall be used to denote rank of tenure track unit member.

9.13 Non-tenure Track Term Appointment

A non-tenure track term appointment may be made at less than 90 per cent, but no less than 50 per cent, of full-time status, for a specific length of time. Performance expectations shall be specified by individual appointment letters.

A non-tenure track term appointment shall not lead to consideration for tenure. Time spent in a non-tenure track term appointment shall not count in the calculation of the time for mandatory review for tenure in any subsequent tenure track appointment in the University of Alaska.

Non-tenure track term appointments may be made for a period up to but no longer than five (5) years. These appointments shall be subject to yearly evaluation based upon the performance assignment and may be at the discretion of the University. Non-tenure track term appointments shall expire at the end of the specified period of appointment, unless renewed and provided notice in accordance with Article 9.42. In addition to provisions for termination provided in this Agreement, a non-tenure track term appointment may be terminated early if the terms of the performance assignment are not fulfilled, if the duration of the funded activity has expired, or if the program has been discontinued or reduced.

The titles of instructor, lecturer, assistant professor, associate professor, and professor may be used to denote rank of non-tenure track unit member. In addition, qualified titles of rank may be used.

The titles of research assistant or associate professor, or research professor shall be used to denote rank of non-tenure track unit member conducting research as a primary assignment and supported primarily by research funds.

The titles of clinical lecturer, clinical instructor, clinical assistant or associate professor or clinical professor shall be used for unit members who are also practitioners in health care delivery professions.

9.14. Appointment Duration

A unit member's base appointment shall be for service for the academic year as determined by the campus or for an alternative nine (9) month period. A nine (9) month appointment may be extended by up to three (3) months at the discretion of the University. Such an extension may be included in the base assignment letter, but in any event an extension does not modify the tenured base appointment period of nine (9) months.

9.15. Method of Appointment

All appointments other than those of Distinguished and University Professor shall be made by the Chancellor or the Chancellor's designee, under the appointment authority of the President of the University of Alaska.

9.16 Appointments of Distinction

Tenured appointments as Distinguished Teaching Professor, Distinguished Research Professor, Distinguished Service Professor, or University Professor may be given by action of the Board of Regents on recommendation of unit members and concurrence of the Chancellor and the President.

Appointment as Distinguished Visiting Professor shall be made by the Chancellor, following consideration of recommendations of the unit member. Such appointment shall be reported to the President and shall be a non-tenure track appointment for a period of time not to exceed three (3) years. These appointments may be renewed, following consideration of recommendations of the unit members.

9.2 Evaluation

Unit members shall be evaluated regularly and in writing in accordance with this Agreement. Such evaluation shall be the responsibility of the Chancellor or the Chancellor's designee.

Evaluations shall appraise the extent to which each unit member has met the performance assignment, the extent to which the unit member's professional growth and development has proceeded, and the prospects for the unit member's continued professional growth and development. Evaluations shall also identify changes, if any, in emphasis required for promotion, tenure and continued professional growth and may result in the initiation of processes to improve performance. MAU rules and procedures shall identify processes which shall be available to assist unit members in the improvement of performance.

Unit members annually shall provide a current CV and Annual Activity Report for review by their dean or the dean's designee.

Nothing in this article may be construed as conferring supervisory status on department heads/chairs. Formal or informal evaluations conducted by department heads/chairs may be considered along with other information provided by the faculty member or available to the reviewer.

Written documentation of such evaluation shall be made available to the affected unit member. These evaluations shall play a major part in determining if the unit member shall receive renewal of employment, be promoted, or be tenured.

The nonprocedural aspects of the evaluation of unit members shall be considered substantive academic judgments.

9.21 Evaluation of Tenure Track Unit Members for Retention

Evaluation of tenure track unit members shall be conducted on an annual basis by the dean or director or the dean's designee, and, at the request of the dean, by the unit member's department head/chair. During the fourth year of a tenure track appointment the unit member shall receive a comprehensive and diagnostic review by peer unit member review committees and administrators in accordance with the procedures for evaluation provided in Article 9.25, the purpose of which is to assess progress toward tenure or promotion. The review, however, will proceed to the provost. The review may proceed to the chancellor only at the request of the unit member. A unit member who commences a fourth year review may not convert to a tenure or promotion review.

9.22 Evaluation of Tenure Track and Tenured Unit Members for Promotion

a. Evaluation Process

Evaluation of tenure track and tenured unit member shall be in accordance with the procedures for evaluation provided in Article 9.25. After considering the recommendations of the peer unit member review committees, appropriate administrators, and other relevant sources, the Chancellor may promote qualified unit members for whom promotion would be consistent with institutional need, mission, and resources.

b. Denial of Promotion

If the decision of the Chancellor is to deny promotion, the unit member shall retain current academic rank.

c. Withdrawal of Promotion File

A unit member may withdraw the file from consideration at any step in the process prior to review by the Chancellor except in cases where the unit member otherwise would have been required to undergo a fourth year comprehensive review.

d. Dispute Resolution

The final decision is not subject to the dispute resolution process provided in Article 7 of this Agreement until the final promotion decision has been made by the Chancellor and communicated to the unit member.

9.23 Evaluation of Tenure Track Unit Members for Tenure

a. Evaluation Process

Evaluation of non-tenured unit members for tenure shall be in accordance with the terms and conditions of appointment and with the procedures on evaluation provided in Article 9.25. The Chancellor may award tenure to such unit members as are, in the Chancellor's opinion, qualified and for whom tenure would be consistent with the need, mission, and resources of the MAU and the unit in

which the unit member would be tenured. The Chancellor shall give consideration to the recommendations of the peer unit member review committees, appropriate administrators, and other relevant sources.

b. Denial of Tenure

If the decision of the Chancellor is to deny tenure to a unit member in the mandatory year for review, the unit member shall be offered a terminal appointment. The process after denial of tenure shall be in accordance with Article 9.34.e.

c. Withdrawal of Tenure File

A unit member may withdraw the file from consideration at any step in the process prior to review by the Chancellor, except in cases where the tenure review is mandatory or the unit member otherwise would have been required to undergo a fourth year comprehensive review.

d. Dispute Resolution

The final decision is not subject to the dispute resolution process provided in Article 7 of this Agreement until the final tenure decision has been made by the Chancellor and communicated to the unit member.

9.24 Post Tenure Review

The post tenure review process is generally intended to be a formative rather than a summative process of faculty evaluation, focused on faculty development. It is not intended to be the equivalent of the probationary evaluation of tenure track faculty. At the same time the process should review and encourage progress toward promotion where applicable, ongoing development, scholarship, productivity and citizenship. Any alleged violation of this intent language is subject solely to the complaint process in Article 7.3.

a. Post Tenure Review Process

At least every three years a tenured unit member's dean (or the dean's designee) shall provide written evaluations to tenured unit members. A tenured unit member's department head/chair shall also provide an evaluation at the request of the dean or designee.

Tenured unit members shall be evaluated comprehensively every six years by peer unit members and administrators. These evaluations shall be conducted in accordance with the procedures set forth in Article 9.25, except that unit members will submit only cumulative CVs and Annual Activity Reports. The review will consider cumulative CV's, Annual Activity Reports, interim evaluations and other appropriate information, and will proceed to the provost. The review may proceed to the chancellor only at the request of the unit member.

At any time prior to a scheduled evaluation, the unit member's dean or director may, as a result of other evaluations, initiate the post-tenure review process. In addition, a post tenure review shall be conducted upon the request of a unit member. For purposes of transition, the initial comprehensive review during the term of this Agreement shall be as currently scheduled unless that would result in a lapse of more than three years between reviews. In that case the unit member will be evaluated through the process set out in paragraph 1 of this section. A scheduled review will in any event occur at least three years from the date of the unit member's most recent promotion, tenure or scheduled comprehensive post tenure review. Where a dean or director initiates an early comprehensive review, a unit member shall be notified no later than May 15.

b. Dispute Resolution

Post-Tenure review outcomes are not subject to the dispute resolution process provided in Article 7 of this Agreement until the final decision has been made by the Chancellor and communicated to the unit member.

9.25 Evaluation Process for Retention, Promotion, Tenure, and Post Tenure Review

Except as specifically provided otherwise, evaluation of unit members for retention, promotion, tenure, and comprehensive post tenure review shall be conducted according to the process provided below.

a. The unit member shall:

1. by September 5th, submit to the appropriate Dean or Director, or designee, a curriculum vita and a list of two (2) external reviewers (comprehensive retention reviews as provided in Article 9.21 and post tenure reviews as provided in Article 9.24.a. are exempt from this provision);
2. by September 15, submit the full file for evaluation to the Dean or Director or designee. At the option of the dean or director the file will be transmitted to the department head/chair by the next business day for evaluation. The file shall contain materials appropriate for the purpose of the review being conducted. For comprehensive post tenure review a unit member will submit only cumulative CVs and Annual Activity Reports in accordance with Article 9.24.a.;
3. if the department head/chair is requested to provide a written evaluation, the written evaluation shall be provided to the dean or director or designee by September 23. The unit member shall submit any comments in response to the department head/chair's evaluation to the dean or director no later than September 30; and

b. The Dean or Director or designee shall:

1. distribute the unit member's curriculum vita to the two (2) external reviewers submitted by the unit member and up to two (2) additional external reviewers selected by the Dean or Director. The reviewers shall be requested to submit their reviews to the Dean or Director no later than October 5th; and
 2. by October 2, submit the file to a peer review committee, selected by unit members, with the concurrence of the Dean or Director or designee, in a department/cluster/unit as determined by the Dean or Director, with the consent of the unit members. Absent such consent, the Chief Academic Officer shall resolve issues over the definition of the appropriate department/cluster/unit. The peer review committee shall be composed of at least five (5) tenured faculty at the same or higher rank as the unit member being reviewed, with at least three (3) at the rank of full professor. At UAS these minimums shall be four tenured faculty and three full professors. Committees may determine whether discussions will be open or closed to the public and/or the candidate. The vote of the peer review committee, however, shall be closed to the public and the candidate. The peer review committee review and recommendation, without individual attribution, shall be provided to the Dean or Director or designee, with a copy to the unit member, no later than October 25th. The unit member shall submit any comments in response to the peer review to the Dean or Director no later than October 31st.
 3. Nothing shall preclude the department head/chair from serving as a peer reviewer.
- c. The Dean or Director shall complete a review and prepare recommendations to the Chief Academic Officer (CAO) with a copy to the unit member, no later than December 5.
 - d. The unit member may submit to the CAO a response to the Dean or Director's recommendation no later than December 12.
 - e. By December 17th, the CAO shall submit the file to a MAU Peer Review Committee appointed by the CAO, composed of between three (3) and seven (7) tenured unit members, from a list of between six (6) and twelve (12) unit members submitted by the MAU governance organization. Committees may determine whether discussions will be open or closed to the public and/or the candidate. The vote of the MAU Peer Review Committee, however, shall be closed to the public and the candidate. The MAU Peer Review Committee shall provide its review and recommendation, without individual attribution, to the CAO no later February 7th.
 - f. The unit member may submit to the CAO a response to MAU Peer Review Committee's recommendation no later than February 14th.

- g. In the case of a review for promotion or tenure, the CAO shall review the file and provide a completed review and recommendation to the Chancellor, with a copy to the unit member, no later than March 30. In accordance with Articles 9.21 and 9.24, in the case of comprehensive retention or post tenure reviews, the CAO shall review the file and provide a completed review to the unit member no later than March 30.
- h. In the case of a review for promotion or tenure, the unit member may submit to the Chancellor a response to the CAO's recommendation no later than April 5. In accordance with Articles 9.21 and 9.24, a unit member who wishes to have a comprehensive retention or comprehensive post tenure review proceed to the chancellor must request review by the chancellor. Such a request, along with any response to the CAO's review the unit member wishes to make, must be provided to the chancellor no later than April 5.
- i. The Chancellor shall review the file and make a decision regarding the unit member's performance (i.e. whether to grant retention, promotion and/or tenure, or to determine whether the unit member's performance is satisfactory and notify the unit member on or before May 1 of the decision.
- j. The parties will meet and confer regarding the adjustment of dates at each MAU and reduce any agreed modifications to permanent MOUs. If a date in this article or related MOU falls on a Saturday, it shall be treated as falling on the preceding Friday; if a date in this article or related MOU falls on a Sunday it shall be treated as falling on the following Monday.
- k. Timelines in this article or related MOUs may be extended by mutual consent of the parties, and such consent shall not be withheld unreasonably.

9.26 Evaluation of Non-tenure Track Unit Members

MAU rules and procedures shall provide for a performance evaluation process for non-tenure track unit members to be conducted prior to the end of the assignment. The evaluation process shall be separate and distinct from that of tenure track and tenured unit members. Non-tenure track unit members may not challenge a decision not to reappoint them because term appointments are expected to end at the completion date of the assignment.

9.3 Responsibilities, Rights, and Privileges of Tenure

9.31 Professional and Ethical Standards

A tenured unit member has a responsibility to maintain high standards of professional and ethical performance and conduct.

9.32 Locus of Tenure

Unit members shall be tenured within their discipline, at an MAU within the University of Alaska. Unit members may transfer with tenure to another academic unit in the same or another MAU only upon the mutual agreement of the unit member and the Chancellor of the receiving academic unit. For purposes of this Agreement, "discipline" shall be defined as the traditional academic field and recent teaching and research record as demonstrated in workload agreements, annual activity reports, and evaluations.

9.33 Method of Appointment to Tenure

Tenure shall not be awarded automatically. It is awarded only after careful consideration in accordance with the process set forth above. The Chancellor must have approval from the President to award tenure at the time of initial appointment of a unit member, or of an academic administrator awarded faculty rank, if the unit members of the academic unit within which tenure would be held recommend against it.

9.34 Conditions for Consideration for Award of Tenure

Tenure may be awarded to faculty holding a tenure track appointment. Tenure shall not be awarded to non-tenure track unit members.

A unit member may request an evaluation for award of tenure during any year of service but must be evaluated for tenure in the mandatory year for review. Unit members evaluated for tenure prior to the mandatory year for review shall be evaluated on the basis of performance expectations that would exist at the time of mandatory tenure review.

The following considerations affect the determination of the mandatory year.

a. Initial Appointment to Full or Associate Professor

An initial appointment to the rank of professor may be made with or without tenure. However, unit members receiving such appointments without tenure shall be reviewed for tenure no later than the second consecutive year of service. Appointments to full professor may continue beyond the third year only with tenure.

Initial appointment to the rank of associate professor also may be made with or without tenure. Unit members receiving such appointments without tenure shall be reviewed for tenure no later than the fourth (4th) consecutive year of service. Appointments to associate professor may continue beyond the fifth (5th) year only with tenure.

b. Promotion to Associate Professor

Tenure track unit members undergoing review for promotion to associate professor shall also be reviewed for tenure. Promotion of tenure track unit members to associate professor shall not be made without prior or simultaneous award of tenure.

c. Review of Assistant Professor

All tenure track unit members appointed at the rank of assistant professor shall be reviewed for promotion and tenure no later than the seventh (7th) consecutive year of service. Service may continue beyond the eighth (8th) year only with tenure.

d. Years of Service Computation

All consecutive years of service, including periods of leave of absence at full salary and sabbatical leave, shall be counted in the determination of the time of mandatory tenure review. Periods of leave of absence at partial or no salary and partial years of service shall also be included unless exception is requested by the unit member and approved at the time the leave is granted by the Chancellor or Chancellor's designee. Periods of parental leave shall be excluded unless an exception is requested by the unit member. No more than two (2) academic years or two (2) alternative nine (9) month periods may be excepted from counting toward the mandatory year of tenure review.

Regardless of inclusion in the computation of total years, leave of absence shall not be deemed an interruption of otherwise consecutive service. Years of service preceding a break in consecutive years of university employment may be counted only upon agreement between the unit member and the Chancellor or Chancellor's designee at the time of re-employment.

If requested in writing at the time of appointment, a partial year of service that includes at least one (1) semester of full-time unit member service (e.g., as in a mid-year appointment) may be approved by the Chancellor or Chancellor's designee as a full year of service and counted toward both the time of mandatory tenure review and eligibility for sabbatical leave.

e. Failure to Receive Tenure

A candidate standing for tenure prior to the mandatory year of review may proceed through all steps in the process. If the decision of the Chancellor is to deny tenure, the unit member may continue to serve as a tenure track unit member but may not stand again for tenure prior to the mandatory year of review. The decision of the Chancellor in this instance is final.

A unit member must stand for tenure by or in the mandatory review year. If tenure is not awarded, the unit member shall be offered a terminal appointment for one (1) additional academic year, or alternative ninth month period, of service.

f. Rejection of Tenure

A unit member who is offered tenure by an MAU pursuant to the terms of this Agreement, but who declines to accept it, may continue to be employed in a manner to be determined by the Chancellor.

9.4 Termination of Appointment

Termination, which severs the employment relationship of a unit member, shall be based on a considered decision to discontinue an existing employment relationship. Unit member appointments may be terminated under any of the following conditions.

9.41 Non-retention of Tenure Track Unit Members

Non-retention follows a decision not to continue the employment of a tenure track unit member. The Chancellor or the Chancellor's designee shall provide written notification of termination to the unit member. The following schedule of notification shall be based upon consecutive years of uninterrupted service as a tenure track unit member within the University of Alaska.

- a. Within the first year, regardless of contract extensions, the unit member shall be notified no later than February 15 for appointments based on the academic year, or three (3) months prior to the end of the base appointment for appointments based on an alternative nine (9) month period.
- b. Within the second year, regardless of contract extensions, the unit member shall be notified no later than November 15, for appointments based on the academic year, or six (6) months prior to the end of the base appointment for appointments based on an alternative nine (9) month period.
- c. After two (2) or more years, the unit member shall be notified not less than twelve (12) months prior to the expiration of the final appointment.

9.42 Non-renewal of Non-tenure Track Unit Members

Non-renewal follows a decision not to continue the employment of a non-tenure track unit member. Written notification of termination shall be provided to the unit member. Failure to provide notice as provided below shall not result in renewal of appointment. If notice is provided after the dates prescribed below, the University may, at its discretion, pay the faculty member in lieu of notice. The following schedule of notification shall be based upon consecutive years of uninterrupted service as a non-tenure track unit member within the University of Alaska.

- a. Within the first two (2) years, regardless of contract extensions, the unit member shall be notified no later than the expiration of the appointment.

- b. From the third (3rd) through the sixth (6th) years, regardless of contract extensions, the unit member shall be notified not less than 45 days prior to the expiration of the appointment.
- c. After seven (7) years, the unit member shall be notified not less than 90 days prior to the expiration of the appointment.

9.43 Failure to Receive Tenure

Following denial of tenure in the mandatory year for tenure review, the Chancellor or Chancellor's designee shall provide written notification to the unit member not less than twelve (12) months prior to the expiration of the final appointment.

9.44 Resignation or Retirement

Unit members intending to resign or retire from employment with the University of Alaska shall be expected to provide notice adequate to allow for their orderly replacement. Unit members shall file with the appointing authority, as soon as possible, a written resignation stating the effective date.

9.45 Just Cause

Any unit member may be dismissed for just cause. Just cause shall include, but not be limited to: incompetence, neglect of duty, failure to perform assignment, unprofessional conduct, or other conduct or condition that interferes substantially with the continued performance of duties. Unit members may be suspended immediately while proceedings are in progress for dismissal for just cause if their continued presence poses the threat of harm to themselves, others, or to the interests of the University, as determined by the University. Just cause terminations shall be conducted in accordance with Article 11.

9.46 United Academics Notice

The University shall provide United Academics written notice of all terminations or non-retentions concurrent with the written notice to the unit member.

ARTICLE 10

Reduction in Force

10.1 General

Prior to a decision to discontinue or reduce an academic program which would result in the termination of unit members, the University shall meet and confer with United Academics. Upon request of United Academics, the University shall provide available information regarding the potential program reduction or discontinuance, including a list of unit members whose positions are under consideration for elimination, and the criteria used for determination of those positions.

10.2 Discontinuance of Program

When a decision is made to discontinue a program, a good faith effort shall be made to place tenured unit members in another program where appropriate. The Chancellor or the Chancellor's designee shall notify unit members of the decision to terminate employment in writing on the following schedule based upon consecutive years of uninterrupted service within the University of Alaska.

- a. Within the first year, regardless of contract extensions, the unit member shall be notified no later than February 15, for appointments based on the academic year, or three (3) months prior to the end of an appointment, for appointments based on an alternative nine (9) month base.
- b. Within the second year, regardless of contract extensions, the unit member shall be notified no later than November 15, for appointments based on the academic year, or six (6) months prior to the end of the base appointment for appointments based on an alternative nine (9) month period.
- c. After two (2) or more years of uninterrupted service, the unit member shall be notified twelve (12) months prior to the expiration of the final appointment.

If the discontinued program is reactivated within two (2) years, previously tenured and qualified unit members shall be invited to return prior to hiring new full-time unit members. The unit member shall be provided at least thirty days from the mailing of the invitation to notify the University of the decision to decline or accept. If the former unit member does not respond within thirty days, the invitation shall be assumed to have been declined. Former unit members wishing to receive such invitations shall be responsible for maintaining a current mailing address with the hiring authority.

10.3 Reduction in Program

When a decision is made to reduce a program pursuant to University Regulation 10.06.01, a good faith effort shall be made to retain tenured unit members qualified in the discipline (as defined in Article 9) in preference to non-tenured unit members, to place in another program those tenured unit members qualified in the discipline (as defined in Article 9) in the MAU where appropriate, or to compose a workload for qualified unit members from activities assigned to part-time faculty in the program.

Opportunities for continued employment in a reduced program, or transfer to another program, shall be offered to unit members qualified in the discipline in the following order:

Tenured unit members

Non-tenured, tenure track unit members

Non-tenure track term unit members on full-time appointments

Non-tenure track term unit members on less than full-time appointments

Overload or other additional assignments, only to the extent of the additional assignment

Unit members not provided opportunities for continued employment according to the terms of this Section shall be terminated. The Chancellor or the Chancellor's designee shall notify unit members of the decision to terminate employment in writing on the following schedule based upon consecutive years of uninterrupted service with the University.

- a. Within the first year, regardless of contract extensions, the unit member shall be notified no later than February 15 for appointments based on the academic year, or three (3) months prior to the end of the base appointment for appointments based on an alternative nine (9) month period.
- b. Within the second year, regardless of contract extensions, the unit member shall be notified no later than November 15, for appointments based on the academic year, or six (6) months prior to the end of the base appointment for appointments based on an alternative nine (9) month period.
- c. After two (2) or more years of uninterrupted service, the unit member shall be notified twelve (12) months prior to the expiration of the final appointment.

If the program is expanded within two (2) years, previously tenured and qualified unit members shall be invited to return in the order provided in this Section above prior to hiring new full-time unit members. The unit member shall be provided at least thirty days from the mailing of the invitation to notify the University of the decision to decline or

accept. If the former unit member does not respond within thirty days, the invitation shall be assumed to have been declined. Former faculty members wishing to receive such invitations shall be responsible for maintaining a current mailing address with the hiring authority.

10.4 Financial Exigency

Following a declaration of financial exigency in accordance with Regents' Policy and University Regulation, unit members are entitled to written notice of termination a minimum of sixty (60) calendar days in advance of the cessation of their employment.

10.5 Other Rights of Retrenched Unit Members

Any terminated faculty member whose re-employment rights have not expired shall have the right to purchase, through the University, insurance coverage identical to that offered other faculty at group rates, with the full cost to be paid by the terminated person.

10.6 Exclusions

Non-retention or non-renewal of appointments under Article 10, or disciplinary actions under Article 11, shall not be considered a discontinuance or reduction of program.

ARTICLE 11

Disciplinary Action

11.1 Just Cause

Disciplinary action may be taken against a unit member for just cause. If discipline of a unit member is being considered, an investigation must be conducted and the following actions must occur before any disciplinary action is taken.

11.2 Disciplinary Investigation

Prior to an investigation required by Article 11.1, the unit member shall be provided written notice of the investigation, the allegations being considered, the possibility of disciplinary action and the right to union representation. The unit member shall be provided an opportunity to meet with the appropriate administrator to respond to the allegations and may be represented by a designated United Academics representative. A unit member subject to investigation may be suspended with pay during the course of an investigation if their continued presence poses threat of harm to themselves, others, or the University, as determined by the University. Such suspension shall not be considered disciplinary action.

In the investigatory meeting, the unit member shall be permitted to respond to questions and to provide information or evidence relevant to the allegations under investigation. A unit member who elects not to attend, or who refuses to answer questions during the investigatory meeting, shall be considered to have waived the right to respond to the allegations prior to the potential disciplinary action.

11.3 Implementation of Disciplinary Action

11.31 Disciplinary action shall proceed according to the process set forth herein in cases of misconduct, including refusal to perform a legitimate assignment, dishonesty, harassment, assault, substance abuse, theft, or grounds that constitute violations of law.

- a. The University shall provide the unit member written notice of disciplinary action in advance of a meeting with the unit member and, at the discretion of the unit member, a designated United Academics representative. The notice shall include the findings of the investigation and notice that the unit member may have the right to challenge the disciplinary action as provided in Article 7. If the unit member does not attend the meeting, the notice shall be mailed to the unit member's last known address, with a copy to United Academics.
- b. Disciplinary action up to termination may take effect immediately upon notice to the unit member. Termination may take effect five days after notice to the unit

member and United Academics, during which time the unit member may be suspended without pay, at the discretion of the University.

- 11.32 Disciplinary action shall proceed according to the process set forth herein in cases of academic incompetence involving demonstrated inability to perform assigned professional responsibilities in an adequate manner.
- a. The University shall provide the written findings of the investigation and the proposed disciplinary action to the unit member, United Academics, and the MAU Disciplinary Committee, a standing committee composed of three (3) unit members appointed by United Academics.
 - b. Within ten (10) days of receipt of the findings, the MAU Disciplinary Committee shall conduct a due process hearing on the record at which the unit member, with assistance from a designated United Academics representative or other counsel, shall be provided the opportunity to respond to the findings and a University representative may respond. The hearing shall be closed to all except the parties (i.e., the Committee, the unit member, a United Academics representative, and administration representatives), unless otherwise agreed to by the parties.
 - c. Within ten (10) days of the conclusion of the hearing, the MAU Disciplinary Committee shall provide its recommendation and the reasons therefore on the proposed disciplinary action to the Dean or Director, the unit member and United Academics. If the Dean or Director intends to take an action other than that recommended by the Committee, a meeting of the Dean or Director and the Committee shall be convened prior to step d. below to discuss the matter.
 - d. The University shall provide the unit member written notice of disciplinary action. The notice shall be provided in advance of a meeting with the unit member and, at the discretion of the unit member, a United Academics designated representative. The notice shall include notice that the unit member may have the right to challenge the disciplinary action as provided in Article 7. If the unit member does not attend the meeting, the notice shall be mailed to the unit member's last known address, with a copy to United Academics.
 - e. Disciplinary actions taken pursuant to Article 11.32 shall be considered substantive academic judgments and shall not be subject to the grievance or arbitration process. However, an allegation that the disciplinary action has resulted from an error of procedure shall be subject to the grievance or arbitration process.
 - f. Disciplinary action up to and including termination may take effect immediately upon notice to the unit member. Termination may take effect five (5) days after notice to the unit member and United Academics, during which time the unit member may be suspended without pay, at the discretion of the University.

- 11.33. Notice of disciplinary action shall be placed in the faculty member's Academic Record File in accordance with Article 12 (F).
- 11.34 By mutual written agreement of the parties on a case by case basis, all timelines in this Article may be modified.
- 11.35 By mutual agreement of the parties, all meetings referred to in this Article may be conducted by teleconference.
- 11.36 The University shall conduct and complete all investigations as soon as practicable.

ARTICLE 12

Personnel Files

- 12.1 The University maintains an "Employment Record File" and an "Academic Record File" for each unit member. In addition, a confidential "Medical Record File" shall be maintained for unit members, when required by law. The Employment Record File shall be located in the Campus Academic Administration or Personnel Office and the Academic Record File shall be located in the unit member's Dean's office on the unit member's campus of their primary assignment. The Medical Record File shall be kept in either the Campus Academic Administration Office or Personnel, separate from other personnel files. Other files containing information regarding unit members may exist. However, information in such files may not be kept for longer than one year, or contain information not in the official personnel files which is more than one year old. The University shall not take action with respect to a unit member based upon information which is not contained in the official personnel files.
- 12.2 Unit members shall have the right to examine these files at any time during normal business hours and shall have the right to receive a copy of the official personnel files upon submission of advance written request to the Campus Academic Administration or Personnel Office or the unit member's Dean. Unit members may place in these files a response to adverse information contained therein.
- 12.3 A United Academic representative, having written authorization from the unit member concerned, and subject to the University's duty to provide for security of the records, may examine and copy the official personnel files of that unit member. Authorized University personnel or representatives of appropriate governmental agencies may examine personnel files or copy for routine administrative activity without notification, except as provided for in Article 12.7. Other persons may have access to the official personnel files only as provided by law, with notification to the unit member.
- 12.4 When a reprimand, disciplinary action, or delinquency in job performance is reduced to writing by a supervisor, the findings and decisions of the supervisor shall be filed, in writing, in the unit member's Academic Record File, and a copy thereof simultaneously given to the unit member. No such material may be placed in a unit member's Academic Record File without an original signature of the Dean, or designee, and the unit member. The unit member shall sign such material to signify receipt and notification of such action. Such signature shall not be construed as agreement or disagreement with material contents. Material on disciplinary reprimands shall be removed after two (2) years except for specific formal disciplinary action for sexual harassment, physical assault, discrimination, theft, fraud, scientific misconduct, or substance abuse. Material on disciplinary suspensions and terminations shall be retained in the file.

12.5 The Employment Record File may contain the following:

- a. Information relating to the unit member's original hire, such as application, job evaluation form or current job description, curriculum vitae, transcripts, and hire documents, including benefit enrollment forms.
- b. Correspondence relating to the individual's employment.
- c. Documents showing the history of the unit member's work assignments and compensation, including workers' compensation payment documents and letter(s) of appointment.
- d. Other documents related to the unit member's employment status at the University.
- e. Unit member responses to the above, if any.

12.6 The Academic Record File may contain the following:

- a. Commendations, awards, honors, current curriculum vitae, official reprimands, including notices of unsatisfactory performance, disciplinary action, and appropriate material relating to the unit member's job performance.
- b. Correspondence relating to the individual's employment.
- c. Final grievance awards relating to the unit member's job performance or discipline.
- d. University authorized performance, peer, and student evaluations.
- e. Written documentation of faculty workload and evaluations relating to promotion, retention, tenure, and contractual obligations.
- f. Summaries of submitted proposals, notices of awarded grants and contracts, and summaries of donations or gifts received.
- g. Other documents relevant to the unit member's academic record at the University.
- h. All documents included in the promotion and/or tenure file.
- i. Unit member responses to the above, if any.

12.7 The Medical Records File shall contain all confidential medical information related to a unit member's employment. Access to and use of information contained in the file shall only be as provided by applicable law. Authorized University personnel may examine or copy this file for routine administrative purposes without notification to the unit member. If a representative of an appropriate government agency requests access to the Medical Record File of one or more named individuals, the University shall notify those

individuals in a timely manner. Other persons may have access to the Medical Record File only as provided by law, with notification to the unit member.

- 12.8 The University shall not include material in the unit member's official personnel files unless the source is identified. Anonymous material shall be removed by the University upon demand of the affected unit member, or if discovered by the University with written notice to the unit member.
- 12.9 No items, except for anonymous material, may be removed from the unit member's official personnel files without the expressed written authorization of the unit member, except for brief inspection or copying.

ARTICLE 13

Workload

13.1 Definitions

- 13.11 Workload: Workload is the activities a unit member shall be required to perform to meet the requirements of a contract.
- 13.12 Unit Member Workload: A unit member's written workload shall be provided by the University to an individual unit member within the parameters set forth in this Agreement describing the specific activities that the unit member shall accomplish in a specified period of time (i.e. semester, academic year, calendar year, multi-year period) to fulfill their professional obligation to the University. The determination of a unit member's workload is considered a substantive academic judgment.
- 13.13 The workload may consist of three parts: teaching, research, and service.
- a. Teaching: classroom, studio, laboratory, and distance delivery instruction in regular academic courses with assigned contact hours; development and coordination of special undergraduate and graduate seminars; preparation of student materials for classes; preparation of a new course or substantial revision of an older course; general advising of undergraduate students; supervision of student mentorships; supervision of graduate student theses, dissertations, and research/creative projects; supervision of undergraduate theses and research/creative projects; supervision of directed study through individualized courses; non-credit educational programs on-campus or elsewhere; and other activities benefiting students' academic development.
 - b. Research/creative activity: all professional activities leading to publication, performance or formal presentation in the unit member's field, or leading to external funding recognizing the unit member's current or potential contribution to their field. Such activities include: manuscript submission; grant proposal submission; supervision of externally funded research projects; development of patentable inventions; additions to a portfolio; and other original contributions appropriate to the unit member's field.
 - c. Service:
 1. Public service: in organized, nonremunerative, educational and consultative activities which devolve from a unit member's professional expertise and further the interests or prestige of the University;
 2. University service: as department head, program director, or governance officer; on administrative and governance committees; in United Academics as a member of a committee, joint labor-management

committee or task force established by this Agreement; and other tasks as deemed necessary by the University; and

3. Professional service: on grant, journal, or accreditation review boards, or as an ad hoc reviewer, in the unit member's area of expertise; as an officer in a professional society; organizing and/or chairing conferences, symposia, seminars, etc.; teaching short courses, seminars, etc. that are not regular academic courses; editing journals, books, special volumes of papers, etc.

13.2 Professional Responsibilities

- 13.21 The primary professional responsibilities of unit members are teaching, research, scholarship, creative activity, and service to the University and the public.
- 13.22 Unit members have additional professional responsibilities including, but not limited to: advising students; participating in MAU and statewide committees; maintaining reasonable office hours; working collaboratively and productively with colleagues; participating in conferences and seminars; taking academic leaves and sabbaticals that provide additional opportunities for scholarship; and preparation and participation in traditional academic functions.
- 13.23 It is understood between the parties that unit members may not normally participate in all professional activities identified in this article during each academic term or year.

13.3 Workload

- 13.31 The composition of professional duties and responsibilities of unit members will be determined by the appropriate administrator after consultation with the department head/chair and/or unit member as provided in Article 13.34.
- 13.32 In the determination of professional workload, consideration shall include those items listed in Article 13.13 and the following factors: accountability; historical workloads, the missions and goals of academic units, including unit criteria developed for the evaluation of faculty; the level, duration, and mode of delivery of a workload activity; the requirements of externally funded contracts and grants; and whether an activity requires individual or group activity of extended contact hours.
- 13.33 Unit members shall be responsible for 30 workload units per academic year. Subject to the criteria in 13.32 and the process in 13.34, one workload unit equals one credit of teaching or equivalent research or service effort. A workload in excess of 30 workload units per academic year shall constitute an overload and will be compensated as such. Overloads shall not be assigned without consent of the individual unit members, and failure to consent to an overload shall not be used as cause for an unsatisfactory annual review or non-retention.

13.34 Workload Determination Procedure:

- a. Individual unit members shall consult with the department head/chair and prepare in writing the proposed workload for each semester or other specified time period. The proposed workload shall account for factors including those specified in 13.32 and be completed and submitted to the department head/chair at least sixty (60) days prior to the end of the contract period preceding the contract period to which the proposed workload assignment applies.
- b. The department head/chair shall submit the following information to the appropriate administrator at least thirty (30) days prior to the end of the contract period preceding the contract period to which the proposed workload applies: all the proposed unit member workloads for the department and a memorandum summarizing the courses and student-credit hours to be delivered and the aggregate research and service activities to be accomplished by the department.
- c. The administrator shall notify unit members of their workload for the subsequent contract period no later than the last day of the current contract period.
- d. If a unit member wishes to challenge the workload assignment, the unit member shall process the challenge according to Article 7.3.

13.35 Workload components of individual unit members within a department may vary from semester to semester and/or contract period to contract period to permit variations in emphasis across teaching, research and service responsibilities

13.36 A unit member's workload shall be determined with the expectation that the unit member will have the opportunity to meet the established criteria for promotion, tenure, and satisfactory peer review.

13.37 Unit members who have externally funded research commitments shall be guaranteed the opportunity to buy out workload units as required to meet the commitments, provided that the overall teaching, research, and service needs of the unit, as determined by the administrator, are met.

13.38 United Academics recognizes the University's need for flexibility in determining unit members' professional workloads as the needs of the University change. When the need arises, an appropriate administrator may revise a unit member's workload. In such an event, the appropriate administrator shall notify the affected unit member in writing regarding the revised workload as soon as practicable and the unit member's salary shall not be reduced during the remainder of the unit member's contract period.

ARTICLE 14

Intellectual Property

14.1 Inventions and discoveries that may be patentable or copyrightable may result from the creative work of unit members. The following terms are intended to provide incentives for the development and utilization of discoveries and inventions. Unit members shall assign to the University all rights to inventions and works as set forth herein.

14.2 Definitions. The following definitions shall apply in Article 14:

- a. A "work" includes any copyrightable material, such as printed material, computer software or databases, audio and visual material, circuit diagrams, architectural and engineering drawings, lectures, musical or dramatic compositions, choreographic works, pictorial or graphic works, and sculptural works. Instructional material, as defined in Article 14.2 c., is included in this definition. The University reserves the right to use all works on a royalty free basis. The unit member shall retain ownership and control of University supported work produced by the unit member; such work shall not be used in a manner which conflicts with the interests of the University.
- b. An "invention" includes any discovery, invention, process, composition of matter, article of manufacture, know-how, design, model, technological development, strain, variety, culture of any organism, or portion, modification, translation, or extension of these items, and any mark used in connection with these items. Instructional material, as defined in Article 14.2 c., is included in this definition.
- c. "Instructional material" includes video and audio recordings, motion pictures, film strips, photographic and other similar visual materials, live video and audio transmissions, computer programs, computer assisted instructional coursework/software, programmed instructional materials, three dimensional materials and exhibits, and combinations of the above materials, which are prepared or produced in whole or in part by a unit member, and which are used to assist or enhance instruction.
- d. "University support" includes the use of University funds, personnel, facilities, equipment, materials, or technological information, and includes such support provided by other public or private organizations when it is arranged, administered, or controlled by the University.
- e. "University sponsored" includes works and inventions which are specifically commissioned by the University, or supported as a work for hire by such means as a specified course release or overload payment.
- f. "Net proceeds" are the gross receipts derived from trademarks, materials, inventions, discoveries and/or intellectual properties, including but not limited to,

rents, royalties, dividends, earnings, gains and sale proceeds, less all costs, expenses and losses paid or incurred by the university in connection therewith, including but not limited to, all direct costs and expenses, indirect costs and expenses as allocated and determined by the University, costs and expenses of obtaining, securing and protecting patents, copyrights and trademarks and all attorney's fees. Gross receipts do not include tuition and fees received by the University of Alaska.

- g. "Independent efforts" are "works" or "inventions" made in the course of independent efforts of the unit member; the ideas came from the unit member, and the "work" or "invention" was not made with the use of University support.
- h. "University-supported efforts" are "work" or "inventions" not made in the course of independent efforts.

14.3 Works

- a. Ownership, Rights, and Responsibilities

- 1. Independent Efforts

A work made in the course of independent efforts is the property of the unit member, who has the right to determine the disposition of such work and revenue derived from such work. The University is not held responsible for any opinions expressed in the work.

- 2. University-Supported Efforts

If the work was not made in the course of independent efforts, the University shall share with the unit member the net proceeds therefrom according to Article 14.3(c). The unit member shall not commit any act which would tend to defeat the University's interest in the matter and shall take any necessary steps to protect such interest.

- 3. University-Sponsored Efforts

If the work was sponsored by the University, the University shall retain all rights and net proceeds.

- b. Disclosure of all Works/University Review

- 1. Upon the creation of a supported work with potential commercial value, and prior to any publication, the unit member shall disclose to the chancellor, or designee, any supported work together with an outline of the project and the conditions under which it was done.

- 2. Upon the creation of a work and prior to any publication, the unit member shall disclose to the Chancellor, or designee, any work made, whether

independent or in the course of University-supported efforts, together with an outline of the project and the conditions under which it was done.

3. Within one hundred and twenty (120) days after such disclosure, the Chancellor, or designee, will inform the unit member whether the University disclaims an interest in the work. The unit member shall assist the University in obtaining releases from persons appearing in, or giving financial or creative support to, the development or use of these works in which the University has an interest. All such agreements shall be subordinate to any preexisting commitments to outside sponsoring contractors.

c. Distribution of Net Proceeds from Works

Subject to restrictions arising from overriding obligations of the University pursuant to grants, contracts of other agreements with outside organizations, the University agrees, for and in consideration of copyrights, to pay annually to the named creator(s), or creator(s)' heirs, copyright, licensing, patent, legal, marketing and other costs have been paid, received by the University for each patent or other intellectual property right assigned to the University, as provided below, or as negotiated prior to start of work.

Total Net Royalty or Revenue Per Work	Creator's Distribution	Creator's Program Distribution	University's Distribution
First \$10,000	100%	0	0
\$10,001 - \$100,000	40%	20%	40%
Over \$100,000	20%	25%	55%

14.4 Inventions

a. Ownership, Rights, and Responsibilities

1. Independent Efforts

All inventions made for which no University support has been used are the property of the unit member, who has the right to determine the disposition of such work and revenue derived from such work. The unit member and the President, or designee, may agree that the patent for such invention be pursued by the University and the proceeds shared.

2. University-Supported Efforts

An invention which is made using University support is the property of the University and the unit member shall share in the net proceeds therefrom according to Article 14.4(d). The unit member shall not commit any act which would tend to defeat the University's interest in the matter and shall take any necessary steps to protect such interest.

b. Disclosure of all Inventions/University Review

1. A unit member shall fully and completely disclose to the President, or designee, all inventions which the unit member develops or discovers while a unit member, together with an outline of the project and the conditions under which it was done.
2. The President, or designee, shall conduct an investigation which shall assess the respective equities of the unit member and the University in the invention and determine its importance and the extent to which the University should be involved in its protection, development and promotion.
3. If the University disclaims to assert its interest or rights in the invention, the President, or designee, shall inform the unit member within one hundred and twenty (120) days of the unit member's disclosure to the President, or designee.
4. The University and the unit member shall execute a written statement reflecting the distribution of net proceeds set forth in Article 14.4(d).
5. All the above statements shall be subordinate to any preexisting commitments to outside sponsoring contractors.

c. Release of Rights

1. In the event a sponsored research contractor has been offered the option to apply for the patent to an invention or other rights to an invention, and the University elects to disclaim, the University shall attempt to obtain the contractor's decision regarding the exercise of such rights within 75 days after such election.
2. At any stage of making the patent applications, or in the commercial application of an invention, if it has not otherwise assigned to a third party the right to pursue its interests or is otherwise obligated, the President, or designee, may elect to withdraw from further involvement in the protection or commercial application of the invention. At the request of the unit member in such case, the University shall endeavor to obtain a waiver or approval from the third party and, if obtained, shall transfer the

invention rights to the unit member, in which case the invention shall be the unit member's property and the costs incurred by the University or on its behalf shall be assessed against the future revenues received by the unit member and the University will be entitled to 12.5 percent of the net proceeds.

3. All assignments or releases of inventions, including patent rights, by the President, or designee, to the unit member shall contain the provision that such invention, if patented by the unit member, shall be available royalty-free for University use, unless otherwise agreed in writing by the University.

d. Distribution of Net Proceeds from Inventions

Subject to restrictions arising from overriding obligations of the University pursuant to grants, contracts or other agreements with outside organizations, the University agrees, for and in consideration of patent rights, to pay annually to the named inventor(s), the inventor(s)' heirs, successors or assigns, a royalty share of the net proceeds, after all licensing, patent, legal, marketing and other costs have been paid, received by the University for each patent or other intellectual property right assigned to the University, as provided below.

Total Net Royalty or Revenue per Invention	Inventor(s)' Distribution	Inventor(s)' Department Distribution	University of Alaska's Distribution
First \$10,000	100%	0	0
\$10,001 - \$19,999	80%	20%	0
\$20,000 - \$250,000	40%	25%	35%
Over \$250,000	20%	20%	60%

14.5 Outside Activity

Although a unit member may, in accordance with Article 17.7 of the Agreement, engage in outside activity, including employment, pursuant to a consulting agreement, requirements that a unit member waive the unit member's or University's rights to any work or inventions which arise during the course of such outside activity must be disclosed to and approved by the President, or designee.

A unit member who proposes to engage in such outside activity shall furnish a copy of this Article and the University's patent policy to the outside employer prior or at the time a consulting or other agreement is signed, or if there is no written agreement, before the employment begins.

ARTICLE 15

Compensation

15.1 Purpose

Unit members are compensated for their teaching, scholarship, creative activity and service to the public, their institution, and their profession. The University of Alaska's compensation program is designed to support the teaching, research, and service mission of the University through the recruitment and retention of outstanding unit members. This is to be accomplished by maintaining a competitive compensation plan and salary structure consistent with the University's needs and resources.

15.2 Salary Ranges

There shall be four (4) salary ranges for the initial hire of unit members; one (1) for each of the professorial ranks and the fourth for the rank of lecturer/instructor. Each salary range shall contain a minimum and a maximum salary for the base academic year.

Rank	Minimum	Maximum
Instructor/Lecturer	\$28,000	\$45,000
Assistant Professor	\$32,000	\$71,000
Associate Professor	\$38,000	\$76,000
Professor	\$44,000	\$98,000

15.3 Initial Placement

Initial and subsequent appointments, rank, type of appointment, and base academic year salary shall be established by the University. Rank, appointment, and salary shall be based on the needs and resources of the institution, the unit member's education and experience, and prevailing market conditions as indicated by annual surveys of faculty salaries from sources appropriate to the hiring department or program which shall include, but not be limited to, the American Association of University Professors, Oklahoma State University, and the College and University Personnel Association. Initial appointments above the maximum in the range may be made at the discretion of the University.

15.4 Base Adjustments

Increases in the base academic year salaries of unit members shall occur in the manner prescribed in Articles 15.41 and 15.42.

15.41 Discretionary Salary Increases

The University shall provide .6% for salary increases for promotion, retention offers, minimum salary range, and equity salary adjustments the first payroll after July 1, 2001, July 1, 2002, and July 1, 2003. These adjustments shall be based on the total base payroll of unit members as of July 1 of each year. In the year of promotion in rank, a unit member shall receive a 10 percent increase in current base salary, including all other base salary adjustments, awarded at the time of promotion. Retention offers and equity salary adjustments shall be at the sole discretion of the University, however equity salary adjustments shall be discussed with United Academics prior to implementation. Retention increases in excess of the pool may be funded through other funds of the university at the sole discretion of the university. There shall be no discretionary increases during the term of this Agreement effective after December 31, 2003.

15.42 Annual Salary Increases

A. Across the Board Adjustments

The University shall provide a 2.6% across the board adjustment to eligible unit members effective the first payroll after July 1, 2001, July 1, 2002, and July 1, 2003.

B. Eligibility

There shall be no annual salary increases during the term of this Agreement after December 31, 2003.

15.43 Unit members may challenge University decisions made pursuant to Articles 15.41 and 15.42. Such decisions shall be considered substantive academic judgments.

15.5 Merit Bonus

The University shall provide .8% for merit bonuses. In addition to the base salary adjustments provided in Articles 15.41 and 15.42 above, the University may, at its sole discretion, award nonrecurring bonus payments to unit members for extraordinary performance far beyond expectations required for a discretionary or an annual salary increase. Merit bonus payments and their distribution shall be considered substantive academic judgments and are subject solely to the complaint process. Notice of such payments shall be provided to United Academics within a reasonable time and shall include the name of the unit member and the amount of the increase.

15.6 Salary Augmentation

A unit member's salary may be augmented during the term of an administrative assignment as department head/chair, overload (additional) assignment during the academic year, or through a summer appointment or contract extension. Augmentations expire at the end of the assignment. Unusually heavy or additional research and/or

teaching responsibility during the academic year appointment shall not result in additional compensation. Extra compensation at an appropriate rate or other consideration as determined by the chancellor, or designee, may be provided under Articles 15.61, 15.62 and 15.63.

15.61 Administrative Assignment

Administrative assignment as department head/chair shall be compensated by at least one of the following options, at the sole discretion of the dean/director.

- a. Release from up to one (1) part (one 3 credit course) of the teaching, research, or service workload; or
- b. A one (1) month extension to the base academic year appointment; or
- c. A one time lump sum payment of up to \$2,500 at the end of each academic year in which the unit member has served in this capacity.

Administrative assignments may, in the sole discretion of the dean, be compensated by combining two of the above options.

Compensation for administrative assignments shall be considered substantive academic judgments subject solely to the complaint procedures of Article 7.3.

15.62 Summer Appointments

Summer appointments may be made for summer session instruction, research or other activities. If a unit member's summer session assignment is canceled due to low enrollment, or some other factor, at the discretion of the University, no extra compensation shall be due the unit member. Unit members holding an academic year appointment and employed in the summer for non-instructional purposes may receive up to one-ninth (1/9) of the academic base salary for each month of such assignment, up to a maximum of one-third (1/3) of the base academic year salary for a three (3) month assignment. Unit members holding an academic year appointment and employed in the summer for instructional purposes shall be compensated according to the current practice in place at each MAU as of the date of this Agreement.

15.63 Overload Appointments

Overload appointments may be made for additional and separate instructional or other work assignments during the base academic year appointment.

15.7 Geographic Differentials

- 15.71 The following shall be the geographic differentials applicable to unit members hired or transferred to a different campus after the effective date of this Agreement. Unit members whose current salary, including geographic differential, exceeds the amount which would result from application of the schedule set forth below shall be frozen at the

current actual amount (1) so long as the unit member remains in their current geographic differential area, or (2) until salary increases to the unit member's base salary plus geographic differential result in the unit member receiving a higher salary than the frozen amount.

Aleutian Islands/Adak/Sand Point	27
Anchorage/Girdwood/Chugiak/Eagle River	0
Aniak/McGrath/Galena.....	30
Barrow/Kotzebue	42
Bethel	38
Bristol Bay/Dillingham.....	27
Cordova/Glenallen/Copper Center.....	11
Delta Junction/Tok.....	16
Fairbanks.....	0
Ft. Yukon	42
Juneau	0
Kenai/Soldotna/Homer	0
Ketchikan	0
Kodiak.....	9
Nenana	20
Nome.....	34
Palmer/Wasilla.....	0
Seward.....	0
Sitka/Angoon	0
Skagway/Haines.....	5
Valdez	11
Yakutat.....	5

15.72 In the event the geographic differential rates in University Regulation 04.05.05 are modified during the term of this Agreement, the rates provided above shall be correspondingly modified as they affect unit members hired or transferred to a different campus after the effective date of this Agreement. Unit members whose current salary, including geographic differential, exceeds the amount which would result from application of the schedule set forth below shall be frozen at the current actual amount (1) so long as the unit member remains in their current geographic differential area, or (2) until salary increases to the unit member's base salary plus geographic differential result in the unit member receiving a higher salary than the frozen amount. A unit member whose differential would be reduced due to modified geographic differentials shall not receive a reduction in rate of pay due to the modification.

ARTICLE 16

Personnel Benefits

16.1 Health Insurance

- a. Unit members shall be provided health insurance, life insurance, long-term disability, and accidental death and dismemberment coverage on the same basis as provided by the University Plan in place on the date of this Agreement. The University shall contribute up to four hundred and thirty nine dollars and fifty nine cents (\$439.59) per unit member per month toward the cost of health benefits. The University contribution shall increase by up to three (3) percent effective January 1, 2001 and by up to three (3) percent effective January 1, 2002 and by up to three (3) percent effective January 1, 2003. There shall be no further increases to the University's defined contribution during the term of this agreement, or any extensions thereto. Coverage shall not be altered without the agreement of the parties in a Labor/Management meeting. In the event the cost of the benefits as prorated among participants in a particular plan exceeds the University's defined contribution, such cost in excess of the University's defined contribution shall be borne by unit members. United Academics shall have two (2) representatives on the University of Alaska Health Care Task Force.
- b. Upon written notice by United Academics no later than July 1 of each year of this Agreement that it intends to remove unit members from coverage by the University Plan, United Academics represented unit members shall cease participation in the University Plan effective January 1 of the succeeding year. In such case, the University shall remit to United Academics the defined contribution amount established in this Agreement on a schedule to be determined by the parties.

16.2 Reimbursement Accounts, Tax Sheltered Annuities, University Pension

Unit members shall be provided reimbursement accounts, tax sheltered annuities, and the University Pension on the same basis as provided in the University plan in place on the date of this Agreement. All disputes between a unit member and the University regarding eligibility for and ongoing participation in such matters shall be subject solely to the dispute resolution procedures provided in the plan documents.

16.3 Educational Benefits

- a. Unit members shall have graduate and/or undergraduate course credit hours charges (tuition) waived up to six (6) credits per semester for a maximum of twelve (12) credits per academic year, beginning with the fall semester and ending with the summer term.

- b. Unit members who shall be employed by the University for the following academic year and who are not employed by the University during the summer shall have graduate and/or undergraduate course credit hour charges (tuition) waived up to twelve (12) credits per summer within the twelve (12) credit per year limitation.
- c. Spouses and dependent children under the age of twenty-four (24) shall have course credit hour charges (tuition) waived.
- d. Unit members who qualify as permanently disabled under the applicable state retirement system or have included University coursework as part of a leave of absence approved pursuant to Article 16.8 e. are entitled to the same educational benefits as regular unit members.
- e. Educational benefits provided in this Article do not apply to programs in which tuition or surcharges exceed the University norm.

16.4 Holidays

- a. The following holidays are observed by the University:
 - 1. Day of Spring Recess
 - 2. Memorial Day
 - 3. Independence Day
 - 4. An additional day before or after July 4, as specified by the President
 - 5. Labor Day
 - 6. Thanksgiving Day and the day immediately following
 - 7. An additional day before or after December 25, as specified by the President
 - 8. Christmas Day
 - 9. New Year's Day
 - 10. An additional day before or after January 1, as specified by the President
- b. Holidays falling on a Saturday shall be observed on the preceding Friday, and holidays falling on a Sunday shall be observed on the following Monday.
- c. Religious holidays may be observed by unit members as leave without pay. Advance approval must be obtained from the Dean or Director, or designee.

16.5 Time Off

Unit members shall receive fifteen (15) days off to be used when classes are not in session during the nine (9) month contract period, only during Christmas closure, spring break, or as specifically approved by the Dean or Director, or designee. Two (2) days of time off shall be provided for each additional month of full-time appointment each year. Time off shall not accrue from one contract period to the next if not used, and no payment is made for time off not used when a unit member terminates employment. Provided the other requirements of this article are met, unit members may use time off received in a fiscal year during that same fiscal year, without regard to whether the use occurs during the base or additional assignment.

16.6 Sabbatical Leave

Sabbatical leaves for professional development may be made available to unit members with a tenure track or tenure appointment who meet the requirements set forth below. Sabbatical leaves shall be granted for study, formal education, research and other scholarly and creative activity, or other experiences of professional value that meet the objectives of sabbatical leave and may include associated travel. The objective of such leave is to increase the unit member's value to the University and thereby improve and enrich its programs. A sabbatical leave shall be granted as a privilege, not a right. Such leaves shall be granted only when it is shown that the applicant is capable of using the time in a manner that shall increase the unit member's value to the University.

a. Eligibility

Tenured or tenure track unit members who shall have completed at least six (6) consecutive years of service within the MAU shall be eligible for consideration to take sabbatical leave during the seventh (7th) or subsequent year of service. Applicants who shall have completed at least six (6) consecutive years of service within the MAU from the date of return from any previous sabbatical leave shall be eligible to be granted another sabbatical leave to be taken during the seventh (7th) or subsequent year.

In computing consecutive years of service for the purpose of Article 16.6, periods of time off and periods of sick leave with salary shall be included. If requested in writing at the time of appointment, a partial year of service that includes at least one (1) semester of full-time unit member service may be approved by the Chancellor, or designee, as a full year of service and counted toward eligibility for sabbatical leave. Periods of leave of absence (other than time off and sick leave with salary) and periods of part-time service shall not be included, but shall not be deemed an interruption of otherwise consecutive service.

b. Terms and Conditions

Sabbatical leaves shall be granted only for periods of one (1) academic year at the rate of six (6) months salary or one semester at the rate of three months salary.

Sabbaticals are granted at the sole discretion of the university and are subject solely to the complaint process. Unit members may, with the prior approval of the Chancellor, or designee, accept fellowships, grants-in-aid, or earned income to assist in accomplishing the purposes of their sabbatical leaves. In such cases, the Chancellor, or designee, may adjust the sabbatical leave salaries to reflect such income provided that total earnings for the leave period are not less than full salary had the recipient not been on leave. A sabbatical proposal that extends beyond the academic year may be approved, but no additional compensation will be paid. A unit member on a terminal appointment shall lose any rights to a sabbatical leave.

c. Applications

Applications for sabbatical leaves shall be submitted to the Chancellor, or designee. Each application shall include a statement outlining the program to be followed while on sabbatical leave and indicate any prospective income from outside of the MAU.

d. Obligation to Return

The recipient shall be obligated to return to the University for further service of at least one (1) appointment period. Failure of the recipient to fulfill this obligation shall require forfeiture of retirement accrued during the leave. Additionally, failure of the recipient to fulfill this obligation shall require the full and immediate repayment of salary and benefits received from the University while on leave, except in extenuating circumstances acceptable to the Chancellor, or designee.

e. Report and Evaluation

A written report detailing the professional activities and accomplishments for which the leave was granted and specifying the sources and amounts of additional funds secured for this period shall be submitted by the recipient to the Chancellor, or designee, within three (3) months after returning from leave. A copy of this report shall be included in the materials submitted by the unit member in the first evaluation cycle after the unit member's return from a sabbatical. Failure to submit a report shall result in an unsatisfactory evaluation and in ineligibility for future sabbaticals.

f. Approval

The Chancellor, or designee, shall approve such sabbatical leaves as the Chancellor, or designee, deems appropriate, considering the merits of the applications and the needs of the institution.

g. Leave Credits

Sick leave credits shall not be accrued or used during sabbatical leave.

16.7 Sick Leave

- a. Sick leave shall be taken for reasons of medical treatment or condition of the unit member, emergency care for immediate family members of the unit member, attendance at a funeral, childbirth by the unit member or the unit member's spouse, adoption of a child, or placement of a foster child with the unit member. Use of sick leave is subject to the conditions provided in Article 16.7 e.
- b. Regular full-time unit members accrue 4.62 hours of sick leave per 80 paid hours pay period. Full-time and part-time unit members shall accrue sick leave on an hours paid basis. Unit members, excluding those on terminal leave, shall receive leave accrual on an hours paid basis in the pay period encompassing the date of termination.
- c. After terminations resulting from program reduction or elimination, sick leave accumulations are lost except that accumulations are reinstated following re-employment for unit members who are rehired in a regular/term-funded position within thirty-six (36) months (3 years). At retirement, any unused sick leave hours may count toward retirement, as provided in the TRS statute.
- d. Sick leave does not accrue during sabbatical leave; unpaid hours; special assignments; temporary overload, summer, or additional assignment contracts; periods for which workers' compensation benefits are paid; periods of suspension or other disciplinary action as approved by the regional personnel office; or terminal leave which must be approved by the Dean or Director, or designee, and is defined as leave taken during pay periods immediately prior to an identified termination date. However, sick leave shall accrue when a unit member receives a contract extension beyond the nine month base assignment at the same rate of pay and the same FTE as their base assignment. Provided the other requirements of Article 16.7 are met, unit members may use accrued sick leave during a summer or additional assignment. Sick leave shall accrue on an hours paid basis when a continuing unit member returns to a new fiscal year contract.
- e. Conditions of use of sick leave are as follows:
 1. The guidelines for the Family Medical Leave Act of 1993 and the Alaska Maternity and Family Leave Act of 1992 pertain to unit members and are contained in University Regulation 04.07.07.
 2. The use of sick leave requires the approval of the Dean or Director, or designee. The Dean or Director, or designee, may request evidence of the illness, at their discretion. Sick leave shall not be used in advance of accrual. The qualifying event period in excess of a unit member's accrued sick leave shall be charged as leave without pay. Sick leave shall not be used during a holiday or a regular day off. A unit member may use sick leave during the three (3) day calendar year end holiday closure upon submission of written evidence of illness or a professional appointment or

treatment recommended by a recognized health care professional covered by the University's health care program.

3. Sick leave benefits shall not be paid during any period for which workers' compensation benefits are paid. Eligible unit members shall receive workers' compensation benefits and shall continue to receive other regular University employer-paid benefits, but shall not accrue sick leave.

f. Sick leave shall be used by the unit member for:

1. An illness, disability, injury, or other medical condition of the unit member including the first three (3) days off work due to a work related injury or illness.
2. An illness which continues for more than three (3) days when the unit member is on time off.
3. A professional appointment or treatment recommended by a recognized health care professional covered by the University health care program.
4. Avoidance of passing on a contagious disease.

g. Parental leave.

Parental leave is available to unit members and shall be granted in the order of sick leave with pay and sick leave without pay. All parental leave shall be granted in accordance with the Family Medical Leave Act under 16.4 e. Conditions of Use of Sick Leave.

h. Sick leave shall be used to arrange or attend a funeral. The eligibility rules for such use are as follows:

1. The sick leave must be approved by the unit member's Dean or Director, or designee.
2. Sick leave of up to five (5) consecutive work days may be used to arrange or attend the funeral of a member of a unit member's immediate family. A written request for periods exceeding five (5) consecutive work days must be provided and approved by the Dean or Director, or designee.
3. Up to one (1) work day of sick leave may be taken to attend the funeral of a friend or relative not in the immediate family.
4. For the funeral of a deceased unit member, the Dean or Director, or designee, determines the number of unit members who may attend. Sick leave is permitted, and the attendance must be reported as sick leave.

- i. Sick leave may be taken as terminal leave only upon approval of the Dean or Director, or designee. Terminal leave is defined as leave taken during pay periods immediately prior to an identified termination date.
- j. Sick leave is paid at the unit member's regular rate of pay effective for the time period for which leave is taken. Full payment for accumulated unused sick leave is made to the unit member's estate in the event of the death of a unit member while employed by the University.
- k. Paid sick leave is discontinued immediately:
 - 1. Upon unit member's return to work status; or
 - 2. Upon determination by the unit member's Dean or Director, or designee, with professional medical advice that the unit member is able to return to work; or
 - 3. Upon refusal or failure by the unit member to submit clear evidence of a qualifying event on request; or
 - 4. When the unit member is eligible and qualified for long term disability benefits provided in Article 16.1 or disability retirement under the applicable State Retirement System or Social Security; or
 - 5. Upon exhaustion of sick leave.
- l. Sick leave requests which are not covered in this Agreement, or requests for special consideration, are to be submitted through administrative channels to the regional personnel office. The personnel office, after evaluation, shall forward its recommendations to the appropriate Chancellor, or designee, for final determination. Copies of requests, recommendations and final determinations shall be provided to the Statewide Office of Human Resources.
- m. To qualify for sick leave benefits, the unit member is required to make proper notification as follows:
 - 1. The unit member who is absent because of illness must notify or if unable, have another notify their Dean or Director, or designee, within the first hour of the normally scheduled work day. The Dean or Director, or designee, may exercise discretion to accept other evidence in lieu of either or both of these requirements. If requested, a unit member is to provide to their Dean or Director, or designee, statements or certificates from the unit member's health care provider as proof of need for sick leave. Failure to notify shall result in denial of sick leave unless the Dean or Director, or designee, decides the circumstances justify a waiver.

2. Unless it is known the unit member's absence is expected, the unit member must continue to notify the Dean or Director, or designee, each normal work day of an absence for the qualifying event.
 3. During periods of sick leave in excess of two (2) biweekly pay periods (4 weeks), the unit member shall provide to their Dean or Director, or designee, statements at predetermined regular intervals from the unit member's or immediate family member's health care provider stating the condition of the unit member's availability for return to work.
 4. During a qualifying event of any duration, regardless of how long or short, the unit member should provide the Dean or Director, or designee, with as much advance notice as possible of the anticipated beginning and ending dates of the absence.
- n. It is the policy of the University to recognize substance abuse as a treatable disease. Therefore, any unit member or unit member's immediate family member suffering from alcoholism or another form of substance abuse, will receive the same consideration that is extended to unit members having other qualifying events.

16.8 Other Leave

a. Leave share

The University shall provide access to the Leave Share Program in place as of the date of this Agreement and as it may be modified by the University from time to time. The University shall provide notice of any proposed changes to the program to United Academics and shall meet and confer on these changes upon written request from United Academics. The University retains the sole and exclusive right to change, modify, or terminate this program at any time.

b. Sick Leave Without Pay

1. A sick leave without pay absence may only be granted when a unit member has exhausted all accrued sick leave with pay.
2. The granting of sick leave without pay is subject to the same conditions as sick leave with pay, and is granted independently of leave without pay.

c. Jury Duty

1. In order that unit members may fulfill their civic responsibility as jurors or witnesses, regular unit members are granted leave of absence with pay for these purposes.

2. Definition: Jury duty or duty as a court witness is that service and time spent away from a University job as a result of a subpoena issued by a court. Service as a volunteer expert witness, representative of a party other than the University, or other volunteer court duty is not included in the provisions of this leave of absence.
3. Regulations: Deans or Directors, or designees, are authorized to grant such leave with pay upon the presentation of a subpoena by the unit member. A record of absences for these purposes shall be maintained and reported as jury duty leave with pay.
4. It is the responsibility of the unit member to keep their Dean or Director, or designee, informed of the anticipated time to be spent away from the job for this purpose.
5. The unit member's regular University pay shall continue to be paid during such leaves of absence.
6. Any pay received by a unit member from a court system for service on jury duty or as court witness duty shall promptly be returned by the unit member to the University to offset part of the cost of such absences.

d. Military Leave

1. A regular unit member who is a member of a reserve or auxiliary component of the United States Armed Forces is entitled to a leave of absence with pay to a maximum of sixteen and one-half (16-1/2) working days in one (1) calendar year during which the unit member is ordered to serve with the National Guard or Reserve Forces, or the Civil Air Patrol or Coast Guard auxiliary units. If requested, the unit member must provide a copy of the order which shall establish their eligibility for military leave.
2. For other than required training periods discussed above, regular unit members are entitled to a military leave of absence without pay to serve in the Armed Forces of the United States and shall be entitled to statutory benefits and rights to re-employment provided for by state or federal law. For a military leave of absence, the unit member must give advance written or verbal notice of leave to the Dean or Director, or designee. If the leave was for more than thirty-one (31) days, the returning unit member must, at the request of the supervisor, provide documentation, such as written orders, that establishes length and character of the service and the timeliness of the application for re-employment.
3. Voluntary involvement with non-military, auxiliary, or civil organizations, such as participation in search and rescue missions, are not eligible for military leave or military leave of absence.

e. Leave of Absence (nonmedical)

1. A leave of absence is without pay and must be approved by the Chancellor, or designee. The unit member's Dean or Director, or designee, may approve in writing a leave without pay request of ten (10) working days or less.
2. Granting leave of absence shall not affect the unit member's status except as provided by Regulation or as agreed to in writing at the time leave is granted.
3. During the leave the unit member is entitled to full rights and privileges as they were in regular service except that they shall not receive salary and shall not accumulate sick leave. The unit member may continue the health/life insurance and retirement programs to the extent allowed by law and/or Regulation, and shall pay the unit member and the University's portions of any cost.
4. Leave of absence may be granted for a variety of purposes. Leave of absence may be granted for up to one (1) year, and may be renewed for up to one (1) additional year. The justification and merit of an application for leave of absence shall be assessed in each individual case.
5. Unless otherwise agreed to in writing, the leave recipient shall return to the same position which they occupied before leaving, or, if the position no longer exists, to a comparable position.
6. A unit member wishing to take leave of absence shall apply to their Dean or Director, or designee. The application shall be forwarded through normal administrative channels, with recommendations being added at each level, to the appropriate Chancellor, or designee, who shall then notify the applicant in writing of the decision. Notification shall also be sent to the President of the University and the Statewide Office of Human Resources. The applicant may withdraw an application for leave of absence prior to the making of a commitment by the University or change of position by the University.

f. Special Assignments

Special assignments shall not be considered breaks in service or affect the privileges and the status of that person with the University, unless specified otherwise in Regulation. Any special conditions of such special assignments shall be clearly set forth in writing. They shall become binding only after having been signed by the unit member concerned and by the appropriate Chancellor, or designee.

ARTICLE 17

Working Conditions

17.1 Nondiscrimination

Neither the University nor United Academics shall discriminate on the basis of United Academics' related activity.

17.2 Health and Safety

17.21 It shall be the policy of the University that the occupational safety and health of its employees, the protection of work areas, and the prevention of accidents are continuing and integral parts of its everyday operating responsibility. The University is committed to providing a safe and healthful working environment for its employees. The employees shall have the responsibility to use any provided safety equipment and procedures in their daily work and shall cooperate in all safety and accident prevention programs. The University agrees to abide by all relevant required local, state and federal safety and health standards, and no unit member shall be disciplined or suffer any retaliatory action for, in good faith, exercising legal rights to a safe and healthful workplace.

17.22 Any unit member who is injured or who is involved in an accident during the course of his or her employment, no matter how slight the injury, shall file an accident report with his/her Dean or Director, or their designee, prior to the end of the workday or as soon as possible after the injury or accident.

17.23 The University agrees to assess any unsafe or unhealthy working conditions in a timely manner, and will take remedial action as appropriate. Results of such assessments shall be reported to the unit member(s) who reported the conditions and to United Academics.

17.24 The University will make available to unit members all information as required by local, state, and federal law dealing with occupational safety and health.

17.25 The University shall determine and supply any safety-related equipment necessary to do the work safely and to avoid injury or accidents. Unit members agree to use such equipment properly and as directed by the University to prevent injury and accidents.

17.26 A unit member shall not be required to operate University equipment which does not conform to local, state or federal safety requirements.

17.3 Unit Member Hours

Unit members shall establish, post, and maintain reasonable office hours, which will meet the educational and programmatic needs of the University. A copy of the notice posted by unit member shall be filed with the unit member's Dean or Director, or their designee.

17.4 Faculty Offices

Office space shall be assigned by the University in a fair and nondiscriminatory manner.

17.5 Assignments Requiring Use of Personal Vehicle or Travel

17.51 Unit members shall be eligible for mileage expenses incurred while using a personal vehicle on University business in accordance with applicable provisions of University Regulation and reimbursed at the rate of thirty-one cents (\$0.31) per mile or as otherwise provided in University Regulation.

17.52 Unit members incurring expenses while traveling on University business shall be reimbursed in accordance with applicable provisions of University Regulation, as of the date of this agreement.

17.6 Relocation

Unit members who are transferred by the University to a work location more than 60 miles from the current work location shall be reimbursed for moving expenses in an amount up to one month's salary at the unit member's current rate of pay. Exceptions shall be as provided in University Regulation 05.02.06. The University shall give at least six (6) months advance notice to any unit member whom it intends to transfer.

17.7 Outside Activities

17.71 Unit Members may engage in outside activities which fall outside of the scope of their University assignment provided they comply with applicable provisions of University Regulation and the provisions of the Alaska Executive Branch Ethics Act, AS 39.52.110 et seq. A copy of the Alaska Executive Branch Ethics Act Handbook will be provided upon request to a unit member by the MAU Personnel Office or Statewide Office of Human Resources.

17.72 Outside activities means work or activities which are not within the scope of the regular employment duties of the unit member. It is agreed that outside activities which will increase the effectiveness and broaden the experience of employees in relation to their functions at the University or which will be of service to the community or the state are encouraged, provided outside activities do not interfere with the performance of the employee's regular University duties; and provided the outside activities do not involve the appropriation of University property, facilities, equipment or services.

ARTICLE 18

Management Rights

- 18.1 Except as specifically limited by this Agreement or by law, the University reserves all rights and privileges heretofore exercised by the University or granted to it by law including the right to:
- a. enact policy or regulation for the governance of the University;
 - b. appoint officers, administrators, supervisors, and other employees who are not unit members;
 - c. establish policies and procedures in support of the educational, research, and service missions of the University;
 - d. establish and direct programs of instruction at the University;
 - e. declare and respond to financial exigency;
 - f. administer the University;
 - g. select, direct, set the number, assign, evaluate, tenure, and promote unit members;
 - h. adopt and enforce work rules and regulations applicable to unit members;
 - i. utilize technology in areas including, but not limited to, distance education;
 - j. determine the organizational structure of the University;
 - k. set performance and productivity standards;
 - l. establish, modify, reduce, or eliminate academic positions, programs, departments, colleges, schools, centers, or other units of the University;
 - m. transfer unit members across academic programs, departments, colleges, schools, centers, geographic locations, or other units of the University, and
 - n. discipline unit members including, but not limited to, the right to reprimand, suspend, or discharge.
- 18.2 The University's exercise or failure to exercise any right, prerogative, or function hereby reserved to it shall not be considered a waiver of the University's right to exercise such right, prerogative, or function or preclude it from exercising the same in some other way not in conflict with the express provisions of this Agreement.

ARTICLE 19
No Strike/No Lockout

The parties agree that there shall be no strikes or lockouts during the term of this Agreement.

ARTICLE 20

Meet and Confer

The parties agree that they shall meet no fewer than two times each semester to discuss the administration and interpretation of this agreement and any related issues. Such meetings shall not substitute for meetings required under Article 7, Dispute Resolution, with respect to the processing of any grievance.

ARTICLE 21

Severability

In the event any provision of this Agreement is held to be illegal, void, or invalid, in whole or in part by any court or administrative agency having competent jurisdiction, the remainder of this Agreement shall remain in full force and effect to the same extent as if the illegal, void, or invalid matter had never been incorporated in this Agreement.

ARTICLE 22

Duration and Negotiation Procedure

This Agreement shall be effective as of January 1, 2001 and shall remain in full force and effect to and including December 31, 2003. No later than August 1, 2003, either party may serve written notice on the other of its desire to terminate, modify, or amend this Agreement. Upon receipt of such notice, negotiations shall commence within sixty (60) calendar days, but in no event shall either party be required to commence negotiations prior to May 1, 2003.

The monetary terms of this Agreement are not effective until they have been approved by the legislature pursuant to AS 23.40.215.

ARTICLE 23

Totality of the Agreement

The parties acknowledge that during the negotiations which resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and that the understandings and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement. Therefore, the Union and the University, for the life of this Agreement, each voluntarily and unqualifiedly waives the right, and each agrees that the other shall not be obligated, to bargain collectively with respect to any subject or matter referred to or covered by this Agreement and with respect to any subject or matter not specifically referred to or covered by this Agreement, even though such subject may not have been within the knowledge and contemplation of either or both of the parties at the time that they negotiated or signed this Agreement.

COLLECTIVE BARGAINING AGREEMENT

Between the

UNIVERSITY OF ALASKA

And the

UNITED ACADEMICS – AAUP/AFT

January 01, 2001 – December 31, 2003

For the University of Alaska:

For United Academics – AAUP/AFT

Signature

Signature

Date

Date

APPENDIX A
Appeals Board Procedures

Individual MAU Appeals Boards will conduct informal and non-adversarial proceedings for the purposes set forth in and in accordance with the provisions of Article 7.34. In the event an individual board is unable to reach agreement on process, the parties agree to meet and confer promptly regarding appropriate procedures.

MEMORANDUM OF UNDERSTANDING
Distance Learning and Technology

The parties will continue the current Distance Learning And Technology Labor Management committee. Final recommendations will be provided to the parties no later than May 1, 2001.

FOR THE UNIVERSITY OF ALASKA

FOR UNITED ACADEMICS-AAUP/AFT

Signature

Signature

Date

Date

MEMORANDUM OF UNDERSTANDING

Workload

The parties will continue the current workload Labor Management committee. Final recommendations will be provided to the parties no later than May 1, 2001.

FOR THE UNIVERSITY OF ALASKA

FOR UNITED ACADEMICS-AAUP/AFT

Signature

Signature

Date

Date

MEMORANDUM OF UNDERSTANDING

FY01 Merit

The parties hereby agree that the 2.4% performance increase due July 1, 2000, under Article 15.42.B. of the 1998-2000 Collective Bargaining Agreement between the University of Alaska and United Academics, will instead be paid across the board as an equal per capita adjustment to base salaries.

FOR THE UNIVERSITY OF ALASKA

FOR UNITED ACADEMICS-AAUP/AFT

Signature

Signature

Date

Date

MEMORANDUM OF UNDERSTANDING

Prior Agreements

The parties hereby agree that the following agreements reached under the 1998-2000 Collective Bargaining Agreement between the University of Alaska and United Academics, will continue through December 31, 2003.

- 8-20-97 Bargaining Unit Assignment of Department Heads/Chairs
- 11-24-98 Discharge for Non-Payment of Fees
- 3-25-99 UAA Promotion and Tenure Process
- 8-27-99 UAA Promotion MOU

FOR THE UNIVERSITY OF ALASKA

FOR UNITED ACADEMICS-AAUP/AFT

Signature

Signature

Date

Date

MEMORANDUM OF UNDERSTANDING
Compensation

The parties agree to form a Labor-Management committee to study faculty compensation issues. The committee will consist of four members appointed by the University and four members appointed by United Academics. Each party will bear the cost of information or services provided, obtained or performed at its request. The final committee recommendation will be provided to the parties no later than May 1, 2001.

FOR THE UNIVERSITY OF ALASKA

FOR UNITED ACADEMICS-AAUP/AFT

Signature

Signature

Date

Date

INDEX

Academic Freedom	2, 7
Academic Record File.....	35-37
Across the Board Adjustments.....	48
Administrative Assignment	48-49
Agency Fee	4-5
Agreement.....	1-2
Annual Salary Increases.....	48
Appointment Duration	19
Base Adjustments.....	47-48
Bulletin Board.....	5
Compensation	37, 47-50, 54, 75
Disability Benefits	57
Disciplinary Action.....	32-37, 55
Disciplinary Investigation.....	33
Discretionary Salary Increases.....	48
Dispute Resolution.....	18, 21-23, 51, 65
Appeals Board.....	13-15, 70
Arbitration.....	10-11, 13, 34
Complaint Resolution	13
Definitions.....	8
Formal Resolution.....	9
Informal Resolution	9
Distance Delivery.....	39
Dues	4-5
Educational Benefits	51-52
Employment Record File	36-37
Evaluation	3, 12, 18-23, 25-26, 37, 40, 54, 57
Faculty Appointment	18

Faculty Offices.....	62
Faculty Status.....	18
Financial Exigency.....	32, 63
Funeral Leave.....	55-56
Geographic Differentials.....	49-50
Governance.....	2, 24, 39, 63
Health and Safety.....	61
Health Insurance.....	51
Holidays.....	52
Information Requests.....	6
Initial Placement.....	47
Intellectual Property.....	42, 44, 46
Inventions.....	39, 42-46
Disclosure.....	45
Distribution of Net Proceeds.....	46
Independent Efforts.....	44-45
Release of Rights.....	45-46
University-Supported Efforts.....	45
Jury Duty.....	58-59
Just Cause.....	29, 33
Labor-Management Committees.....	75
Leave of Absence.....	27, 52-53, 58-60
Leave Share.....	58
Lockout.....	64
Locus of Tenure.....	25
Management Rights.....	63
Medical Records File.....	37
Meet and Confer.....	5, 25, 30, 58, 65, 70
Member Lists.....	4-6
Membership.....	4-6

Merit Bonus	48
Military Leave.....	59
Nondiscrimination.....	61
Non-Renewal	18, 28, 32
Non-Retention.....	13-15, 28-29, 32, 40
Non-Tenure Track.....	18-19, 25-26, 28, 31
Office Hours.....	40, 61
Outside Activity	46
Overload Appointments	49
Parental Leave.....	27, 56
Personal Vehicle Use	62
Personnel Files	36, 38
Post Tenure Review	22-23
Professional Responsibilities	5, 34, 40
Program Discontinuance.....	19, 30
Program Reduction	31
Purpose.....	2
Recognition	3
Reduction in Force.....	30-32
Release Time.....	5
Relocation	62
Resignation	29
Retirement.....	29, 52, 54-55, 57, 60
Sabbatical.....	27, 40, 53-55
Salary Augmentation	48-49
Sick Leave.....	53-58, 60
Sick Leave Without Pay.....	56, 58
Special Assignments	55, 60
Strike	64

Summer Appointments	49
Tax Sheltered Annuities.....	51
Tenure	18
Tenure Track.....	18
Terminal Leave	55, 57
Termination of Appointment	27
Time Off.....	53, 56
Travel	62
Union Offices.....	5
Union Representatives	5
Unit Determination	3
Use of University Facilities	5
Workers Compensation.....	37, 55-56
Working Conditions.....	61-62
Workload.....	39-41
Research	39-41
Service.....	39-41
Teaching.....	39-41
Workload Committee.....	72
Workload Determination	41
Workload Units.....	5, 40-41
Works.....	43-44
Disclosure	43-44
Distribution of Net Proceeds.....	44
Independent Efforts.....	44
University-Sponsored Efforts	43
University-Supported Efforts.....	45